

LAMONT-DOHERTY GEOLOGICAL OBSERVATORY
of COLUMBIA UNIVERSITY
Palisades, N. Y. 10964

FILE COPY

Hilder

FURTHER INVESTIGATION OF THE INTEGRAL SOLUTION
OF THE SOUND FIELD IN MULTILAYERED MEDIA; A
LIQUID-SOLID HALF SPACE WITH A SOLID BOTTOM

by

Henry W. Kutschale

CU-6-71

Technical Report No. 6

Contract N00014-67-A-0108-0016 with the Office of Naval Research
(Work done on behalf of U. S. Naval Ordnance Laboratory)

March, 1972

Reproduction of this document in whole or in part is permitted for
any purpose of the U. S. Government

LAMONT-DOHERTY GEOLOGICAL OBSERVATORY
of COLUMBIA UNIVERSITY
Palisades, N. Y. 10964

FURTHER INVESTIGATION OF THE INTEGRAL SOLUTION
OF THE SOUND FIELD IN MULTILAYERED MEDIA; A
LIQUID-SOLID HALF SPACE WITH A SOLID BOTTOM

by

Henry W. Kutschale

CU-6-71

Technical Report No. 6

Contract N00014-67-A-0108-0016 with the Office of Naval Research
(Work done on behalf of U. S. Naval Ordnance Laboratory)

March, 1972

Reproduction of this document in whole or in part is permitted for
any purpose of the U. S. Government



Digitized by the Internet Archive
in 2020 with funding from
Columbia University Libraries

<https://archive.org/details/furtherinvestiga00kuts>

TABLE OF CONTENTS

	<u>Pages</u>
Abstract	1
Captions for Figures	2-3
Definition of Symbols	4-5
Introduction	6
Formal Solution	8-30
Evaluation of the Integral Solutions	32-40
Numerical Computations	41-52
Summary of Work Done on Behalf of NOL	57-62
Acknowledgements	63
References	64
Appendix A	65-67

ABSTRACT

The integral solution of the sound field is derived from a laminated, interbedded liquid-solid half space. The last layer of infinite thickness is solid. The integral over wave number is conveniently transformed into the complex wave number plane yielding a sum of normal modes of propagation plus the sum of integrals along branch cuts. Waves corresponding to the normal modes predominate at ranges beyond several times the water depth and are considered in detail. Sample numerical computations are presented for propagation of Rayleigh waves in shallow water on the Arctic continental shelf.

FIGURES

Figure 1. Layered model showing location of point source in a liquid layer.

Figure 2. Layered model showing location of detector in a liquid layer.

Figure 3. Contours of integration.

Figure 4. Dispersion curves and the excitation functions of pressure for the fundamental Rayleigh mode. Computations for Model A of Table 1.

Figure 5. Ratio H/ϵ of horizontal to vertical particle motion. Computations for Model A. Positive ratio corresponds to retrograde motion and negative ratio to prograde motion.

Figure 6. Dispersion of flexural waves. Computations for Model A.

Figure 7. Ratio H/ϵ of horizontal to vertical particle motion in the ice sheet of the fundamental Rayleigh mode and the flexural mode. Computations for Model A. Positive ratio corresponds to retrograde motion and negative ratio to prograde motion.

Figure 8. Range dependence of the Rayleigh mode for parameters of Model A in Tables 1 and 2.

Figure 9. Typical ray paths for a source 100 m deep in the central Arctic Ocean. Forty rays computed at 1-degree intervals at the source. Angles at the source go from 20 degrees above to 20 degrees below the horizontal.

Figure 10. Dispersion and depth independent excitation for first two normal modes computed for Model 2 but in 4 km of water.

Figure 11. Two models for computations. Parameters of ice layer given in Table 1. Computations for Model 1 by W.K.B. method; those for Model 2 by exact theory.

Figure 12. Variation of pressure and vertical particle velocity with depth for the first mode at several frequencies. Computations for Model 2 in 4 km of water.

Figure 13. Ratio of horizontal to vertical particle motion (H/z) at the ice surface as a function of frequency for hydroacoustic waves of the first normal mode and flexural waves. Models 1 and 2 are shown in Figure 10. Positive ratio corresponds to retrograde motion and negative ratio to prograde motion.

Figure 14. Variation of ratio H/z with depth in the ice. Positive ratio corresponds to retrograde motion and negative ratio to prograde motion. Models 1 and 2 are shown in Figure 10.

DEFINITION OF SYMBOLS

α_m	; compressional-wave velocity in the m-th layer
β_m	; shear-wave velocity in the m-th layer
h_m	; thickness of the m-th layer
z	; vertical coordinate
r	; range between source and detector
t	; time
ω	; angular frequency
c	; phase velocity
k	; wave number
i	; $\sqrt{-1}$
I_m	; imaginary part of a complex number
ϕ_m	; velocity potential in the m-th layer
$(p_{zz})_m$; normal stress in the m-th layer parallel to z axis
$\tilde{\tau}_m$; tangential stress in the m-th layer
p_m	; pressure in the m-th liquid layer
u_m	; horizontal particle displacement in the m-th layer in the radial direction
w_m	; vertical particle displacement in the m-th layer
\dot{u}_m	; horizontal particle velocity in the m-th layer in the radial direction
\dot{w}_m	; vertical particle velocity in the m-th layer
ρ_m	; density in the m-th layer
ρ_s	; density at the source

J_0	Bessel function of order 0
Y_0	Bessel function of order 0
$H_0^{(1)}$	Hankel function of the first kind of order 0
$H_0^{(2)}$	Hankel function of the second kind of order 0
J_1	Bessel function of order 1

$$\Gamma_{\alpha_m} = \sqrt{\left(\frac{c}{\alpha_m}\right)^2 - 1}, \quad c > \alpha_m$$

$$\Gamma_{\alpha_m} = -i\sqrt{1 - \left(\frac{c}{\alpha_m}\right)^2}, \quad c < \alpha_m$$

$$\Gamma_{\beta_m} = \sqrt{\left(\frac{c}{\beta_m}\right)^2 - 1}, \quad c > \beta_m$$

$$\Gamma_{\beta_m} = -i\sqrt{1 - \left(\frac{c}{\beta_m}\right)^2}, \quad c < \beta_m$$

$$P_m = k h_m \Gamma_{\alpha_m}$$

$$Q_m = k h_m \Gamma_{\beta_m}$$

$$\chi_m = 2 \left(\frac{\beta_m}{c} \right)^2$$

INTRODUCTION

In a previous investigation (Kutschale, 1970) we derived by matrix methods of Thomson (1950), Haskell (1953), Dorman (1962), and Harkrider (1964) the integral solution of the wave equation for point sources of harmonic waves in a liquid layer of a multilayered half space of interbedded liquid and solid layers. The solution is in a form convenient for computation on a high-speed digital computer. However, only the case was considered of a liquid half space underlying the stack of layers. Many experiments on shallow-water propagation of low-frequency waves (Pekeris, 1948, Tolstoy, 1958, Hunkins and Kutschale, 1961) have shown that this representation of the sediments by a layered liquid bounded below by a liquid half space is often useful and valid, but in some experiments on shallow-water propagation in the Arctic Ocean it has been necessary to consider the rigidity of the sediments to take account of propagation of Rayleigh waves in the layered system. The ice sheet is represented by a solid layer at the surface.

In the present report we extend the integral solutions of pressure and particle displacements derived from a layered medium bounded below by a liquid half-space to a layered medium bounded below by a solid half-space. The integral solutions of pressure or particle displacements are evaluated as a sum of normal modes of propagation plus the sum of branch line integrals in the complex wave number plane. Waves corresponding to the normal modes generally predominate at ranges beyond several times the water depth and will be considered in detail. The branch line integrals are difficult to evaluate numerically for a solid half space underlying the stack of layers and discussion of them is omitted. The formulas for pressure and particle

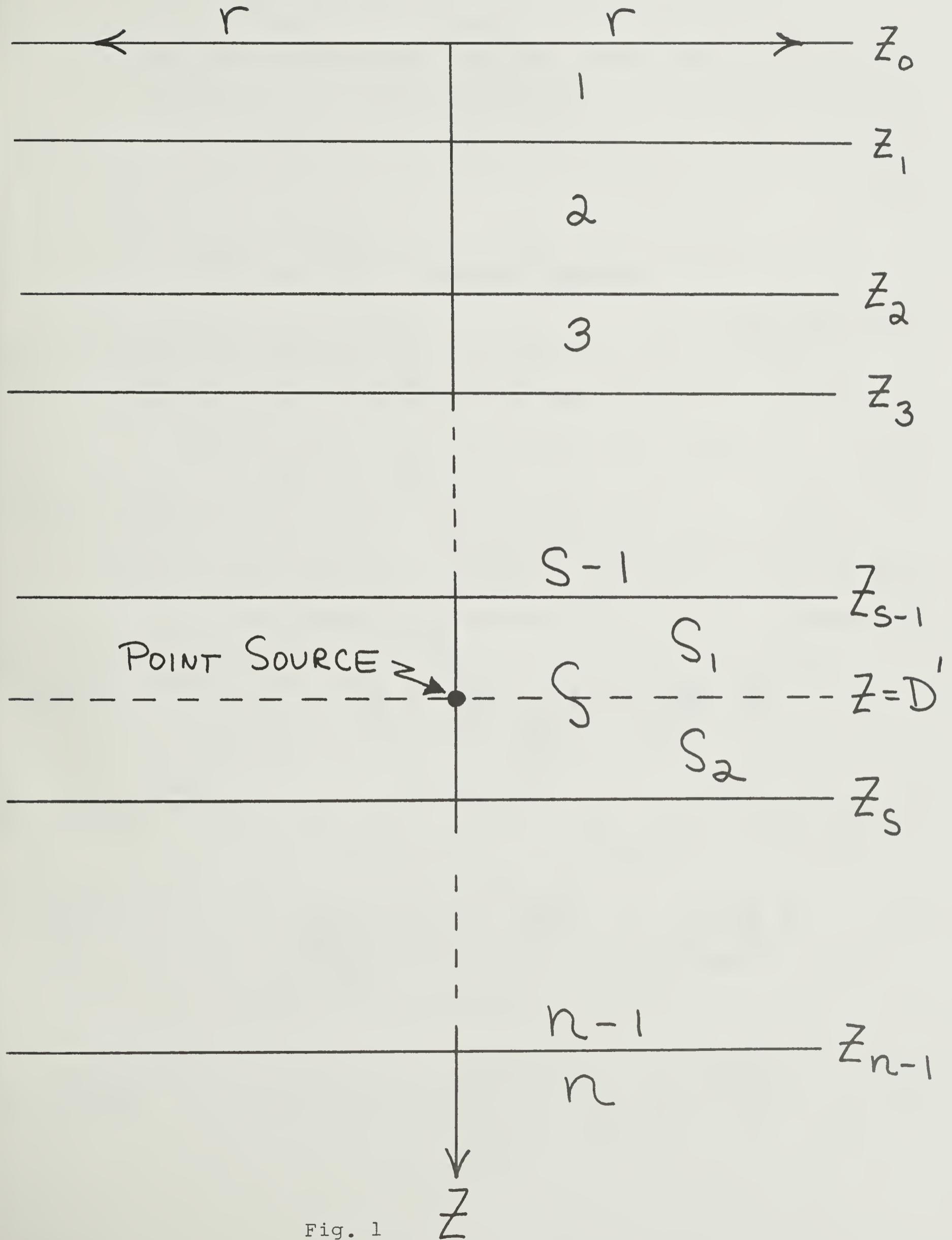


Fig. 1

displacements of the normal modes are incorporated in the same computer programs for the IBM 360/91 used for the case of a liquid half space underlying the stack of layers (Kutschale, 1970).

FORMAL SOLUTION

Source Free Case

Consider the n -layered interbedded liquid-solid half-space shown in Figure 1 in which the last layer of infinite thickness is solid. We assume that guided waves may propagate in the layered system; that is, that c may be less than β_n .

A point source of harmonic waves is located in one of the liquid layers. The velocity potential in the m -th layer, which is assumed to be liquid, satisfies the wave equation

$$\nabla^2 \phi_m - \frac{1}{\alpha_m^2} \ddot{\phi}_m = 0$$

In this layer

$$(\dot{\phi}_{zz})_m = -\dot{\phi}_m = \rho_m \frac{\partial \phi_m}{\partial t}$$

$$\frac{\dot{w}_m}{c} = \frac{1}{c} \frac{\partial \phi_m}{\partial z}$$

$$\frac{\dot{w}_m}{c} = \frac{1}{c} \frac{\partial \phi_m}{\partial r}$$

Solutions for ϕ_m , $\frac{\dot{w}_m}{c}$, and $(\hat{p}_{zz})_m$ are given by

$$\phi_m(r, z, t, k) = \int_0^\infty \hat{\phi}_m(z) J_0(kr) e^{iwt} dk$$

$$\frac{\dot{w}_m(r, z, t, k)}{c} = \int_0^\infty \frac{\dot{\hat{w}}_m(z)}{c} J_0(kr) e^{iwt} dk$$

$$(\hat{p}_{zz})_m(r, z, t, k) = \int_0^\infty (\hat{p}_{zz})_m(z) J_0(kr) e^{iwt} dk$$

where

$$\hat{\phi}_m(z) e^{iwt} = (A_m e^{ik\zeta_m z} + A_m^* e^{-ik\zeta_m z}) e^{iwt}$$

$$\frac{\dot{\hat{w}}_m(z)}{c} e^{iwt} = \frac{ik\zeta_m}{c} (A_m e^{ik\zeta_m z} - A_m^* e^{-ik\zeta_m z}) e^{iwt}$$

and

$$(\hat{p}_{zz})_m(z) e^{iwt} = i\omega \rho_m (A_m e^{ik\zeta_m z} + A_m^* e^{-ik\zeta_m z}) e^{iwt}$$

The A_m and A_m' are constants.

Placing the origin at the $(m-1)$ -st interface we have

$$\frac{\dot{\hat{w}}_{m-1}}{c} = \frac{ik\Gamma_m}{c} (A_m - A_m') \quad (1)$$

$$(\hat{p}_{zz})_{m-1} = i\omega\rho_m (A_m + A_m')$$

at $z = 0$

and

$$\begin{aligned} \frac{\dot{\hat{w}}_m}{c} = & -\frac{k\Gamma_m}{c} (A_m + A_m') \sin P_m + \\ & (A_m - A_m') \frac{ik\Gamma_m}{c} \cos P_m \end{aligned} \quad (2)$$

$$\begin{aligned} (\hat{p}_{zz})_m = & (A_m + A_m') i\omega\rho_m \cos P_m - \\ & (A_m - A_m') \omega\rho_m \sin P_m \end{aligned}$$

at $z = h_m$

Substituting expressions for $(A_m - A_m')$ and $(A_m + A_m')$ from

Equations (1) in Equations (2) yields

$$\frac{\dot{\tilde{w}}_m}{c} = \frac{\dot{\tilde{w}}_{m-1}}{c} \cos P_m + (\hat{p}_{zz})_{m-1} \frac{i r_{dm}}{P_m c^2} \sin P_m$$

$$(\hat{p}_{zz})_m = \frac{\dot{\tilde{w}}_{m-1}}{c} \frac{i P_m c^2}{r_{dm}} \sin P_m + (\hat{p}_{zz})_{m-1} \cos P_m$$

or in matrix notation

$$\begin{bmatrix} \frac{\dot{\tilde{w}}_m}{c} \\ (\hat{p}_{zz})_m \end{bmatrix} = \begin{bmatrix} \cos P_m & \frac{i r_{dm}}{P_m c^2} \sin P_m \\ \frac{i P_m c^2}{r_{dm}} \sin P_m & \cos P_m \end{bmatrix} \begin{bmatrix} \frac{\dot{\tilde{w}}_{m-1}}{c} \\ (\hat{p}_{zz})_{m-1} \end{bmatrix}$$

$$= a_m \begin{bmatrix} \frac{\dot{\tilde{w}}_{m-1}}{c} \\ (\hat{p}_{zz})_{m-1} \end{bmatrix}$$

In general for an n -layered liquid half-space

$$\begin{bmatrix} \frac{\hat{w}_{n-1}}{c} \\ (\hat{p}_{zz})_{n-1} \end{bmatrix} = \begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} \begin{bmatrix} \frac{\hat{w}_0}{c} \\ (\hat{p}_{zz})_0 \end{bmatrix},$$

$$\begin{bmatrix} A_{11} & A_{12} \\ A_{21} & A_{22} \end{bmatrix} = a_{n-1} a_{n-2} \cdots a_1,$$

since the vertical particle velocity and pressure are continuous across each interface.

Consider now a solid layer between two liquid layers or at the surface of the laminated half-space. For this layer we may write

(Thomson, 1950; Dorman, 1962)

$$\begin{bmatrix} (a_m)_{11} & (a_m)_{12} & (a_m)_{13} & (a_m)_{14} \\ (a_m)_{21} & (a_m)_{22} & (a_m)_{23} & (a_m)_{24} \\ (a_m)_{31} & (a_m)_{32} & (a_m)_{33} & (a_m)_{34} \\ (a_m)_{41} & (a_m)_{42} & (a_m)_{43} & (a_m)_{44} \end{bmatrix} \begin{bmatrix} \frac{\hat{u}_{m-1}}{c} \\ \frac{\hat{w}_{m-1}}{c} \\ (\hat{p}_{zz})_{m-1} \\ 0 \end{bmatrix} = \begin{bmatrix} \frac{\hat{u}_m}{c} \\ \frac{\hat{w}_m}{c} \\ (\hat{p}_{zz})_m \\ 0 \end{bmatrix}$$

where the fourth element of the column vector is the tangential stress which is zero at a solid-liquid boundary. The matrix elements are given in Appendix A and may be derived following Haskell (1953).

Equation (3) yields the three equations

$$\frac{\dot{\hat{u}}_{m-1}}{c} = -\frac{(a_m)_{42}}{(a_m)_{41}} \frac{\dot{\hat{w}}_{m-1}}{c} - \frac{(a_m)_{43}}{(a_m)_{41}} (\hat{p}_{zz})_{m-1} \quad (4)$$

$$\frac{\dot{\hat{w}}_m}{c} = (a_m)_{21} \frac{\dot{\hat{u}}_{m-1}}{c} + (a_m)_{22} \frac{\dot{\hat{w}}_{m-1}}{c} + (a_m)_{23} (\hat{p}_{zz})_{m-1} \quad (5)$$

$$(\hat{p}_{zz})_m = (a_m)_{31} \frac{\dot{\hat{u}}_{m-1}}{c} + (a_m)_{32} \frac{\dot{\hat{w}}_{m-1}}{c} + (a_m)_{33} (\hat{p}_{zz})_{m-1} \quad (6)$$

Substituting $\frac{\dot{\hat{u}}_{m-1}}{c}$ from Equation (4) in Equations (5) and

(6) yields

$$\frac{\dot{\hat{w}}_m}{c} = \left[(a_m)_{22} - \frac{(a_m)_{21}(a_m)_{42}}{(a_m)_{41}} \right] \frac{\dot{\hat{w}}_{m-1}}{c} + \left[(a_m)_{23} - \frac{(a_m)_{21}(a_m)_{43}}{(a_m)_{41}} \right] (\hat{p}_{zz})_{m-1} \quad (7)$$

$$(\hat{p}_{zz})_m = \left[(a_m)_{32} - \frac{(a_m)_{31}(a_m)_{42}}{(a_m)_{41}} \right] \frac{\dot{\hat{w}}_{m-1}}{c} + \left[(a_m)_{33} - \frac{(a_m)_{31}(a_m)_{43}}{(a_m)_{41}} \right] (\hat{p}_{zz})_{m-1}$$

or in matrix notation

$$\begin{bmatrix} \hat{w}_m \\ \hat{p}_{zz} \end{bmatrix} = \begin{bmatrix} (a_m)_{22} - \frac{(a_m)_{21}(a_m)_{42}}{(a_m)_{41}} & (a_m)_{23} - \frac{(a_m)_{21}(a_m)_{43}}{(a_m)_{41}} \\ (a_m)_{32} - \frac{(a_m)_{31}(a_m)_{42}}{(a_m)_{41}} & (a_m)_{33} - \frac{(a_m)_{31}(a_m)_{43}}{(a_m)_{41}} \end{bmatrix} \begin{bmatrix} \hat{w}_{m-1} \\ \hat{p}_{zz} \end{bmatrix}$$

$$\cdot \begin{bmatrix} \hat{w}_{m-1} \\ \hat{p}_{zz} \end{bmatrix} = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix} \begin{bmatrix} \hat{w}_{m-1} \\ \hat{p}_{zz} \end{bmatrix}$$

which is the same as the matrix relation for a liquid layer. In

general, then, for interbedded solids and liquids

$$\begin{bmatrix} \hat{w}_{n-1} \\ \hat{p}_{zz} \end{bmatrix} = a_{n-1} \cdots b_{n-3} a_{n-4} \cdots b_1 \begin{bmatrix} \hat{w}_0 \\ \hat{p}_{zz} \end{bmatrix}$$

If layers l to $l+k$ are solid layers in sequence we

have

$$A_{l+k} = a_{l+k} \cdots a_k$$

where each layer matrix is for a solid layer. The product matrix for the solid layers between liquid layers may then be written

$$B_{\ell+k} = \begin{bmatrix} (B_{\ell+k})_{11} & (B_{\ell+k})_{12} \\ (B_{\ell+k})_{21} & (B_{\ell+k})_{22} \end{bmatrix}$$

where

$$(B_{\ell+k})_{11} = (A_{\ell+k})_{22} - \frac{(A_{\ell+k})_{21}(A_{\ell+k})_{42}}{(A_{\ell+k})_{41}}$$

$$(B_{\ell+k})_{12} = (A_{\ell+k})_{23} - \frac{(A_{\ell+k})_{21}(A_{\ell+k})_{43}}{(A_{\ell+k})_{41}}$$

$$(B_{\ell+k})_{21} = (A_{\ell+k})_{32} - \frac{(A_{\ell+k})_{31}(A_{\ell+k})_{42}}{(A_{\ell+k})_{41}}$$

$$(B_{\ell+k})_{22} = (A_{\ell+k})_{33} - \frac{(A_{\ell+k})_{31}(A_{\ell+k})_{43}}{(A_{\ell+k})_{41}}$$

Point Source of Harmonic Waves in a Liquid Layer

We divide the liquid source layer into two layers as shown in

Figure 1. At $z = D'$ the pressure is continuous. The vertical particle velocity is continuous everywhere in the plane defined by $z = D'$ except at the point source where the liquid above and below the source moves in opposite directions. This may be expressed by writing

$$\delta \left(\frac{\hat{w}_s}{c} \right) = \frac{2k}{c}$$

For the liquid source layer

$$\begin{bmatrix} \frac{\hat{u}_{g-1}}{c} \\ \frac{\hat{w}_{s_2}}{c} \\ (\hat{p}_{zz})_{s_2}(D) \\ 0 \end{bmatrix} = \begin{bmatrix} \frac{\hat{u}_g}{c} \\ \frac{\hat{w}_{s_1}}{c} \\ (\hat{p}_{zz})_{s_1}(D) \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ \delta \left(\frac{\hat{w}_s}{c} \right) \\ 0 \\ 0 \end{bmatrix} \quad (8)$$

where \hat{u}_{g-1} is the horizontal particle velocity at the bottom

of the first liquid layer above the half space. For the layers be-

low $z = D'$

$$\begin{bmatrix} \frac{\hat{u}_{n-1}}{c} \\ \frac{\hat{w}_{n-1}}{c} \\ (\hat{p}_{zz})_{n-1} \\ \hat{z}_{n-1} \end{bmatrix} = A_{s_2} \begin{bmatrix} \frac{\hat{u}_g}{c} \\ \frac{\hat{w}_{s_2}}{c} \\ (\hat{p}_{zz})_{s_2}(D) \\ 0 \end{bmatrix} \quad (9)$$

and for the layers above $z = D'$

$$\begin{bmatrix} \frac{\hat{u}_{g-1}}{c} \\ \frac{\hat{w}_{s_1}(D)}{c} \\ \frac{(\hat{p}_{zz})_{s_1}(D)}{c} \\ 0 \end{bmatrix} = A_{s_1} \begin{bmatrix} \frac{\hat{u}_{g-1}}{c} \\ \frac{\hat{w}_0}{c} \\ 0 \\ 0 \end{bmatrix} \quad (10)$$

At the free surface both $(\hat{p}_{zz})_0$ and \hat{c}_0 are zero.

In Equations 9 and 10

$$A_{s_2} = a_{n-1} \cdots a_{n-5} b_{n-6} \cdots a_{s_2}$$

$$A_{s_1} = a_{s_1} b_{s_1-1} \cdots a_2 b_1.$$

For the liquid layers in terms of 4×4 matrices

$$a_m = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (a_m)_{22} & (a_m)_{23} & 0 \\ 0 & (a_m)_{32} & (a_m)_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

and for solid layers between liquid layers in terms of 4 by 4 matrices

$$b_m = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (b_m)_{22} & (b_m)_{23} & 0 \\ 0 & (b_m)_{32} & (b_m)_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

where $(b_m)_{22}, (b_m)_{23}, (b_m)_{32}, (b_m)_{33}$ are given by Equations 7 in

terms of elements of the solid layer matrix

$$a_m = \begin{bmatrix} (a_m)_{11} & (a_m)_{12} & (a_m)_{13} & (a_m)_{14} \\ (a_m)_{21} & (a_m)_{22} & (a_m)_{23} & (a_m)_{24} \\ (a_m)_{31} & (a_m)_{32} & (a_m)_{33} & (a_m)_{34} \\ (a_m)_{41} & (a_m)_{42} & (a_m)_{43} & (a_m)_{44} \end{bmatrix}$$

We now write for the solid half-space (Haskell, 1953)

$$\begin{bmatrix} \Delta_n' + \Delta_n'' \\ \Delta_n' - \Delta_n'' \\ W_n' + W_n'' \\ W_n' - W_n'' \end{bmatrix} = E^{-1} \begin{bmatrix} \hat{u}_{n-1} \\ \hat{w}_{n-1} \\ (\hat{p}_{zz})_{n-1} \\ (\hat{c})_{n-1} \end{bmatrix}$$

where

$$E^{-1} = \begin{bmatrix} -2\left(\frac{\beta_n}{\alpha_n}\right)^2 & 0 & \left(\rho_n \alpha_n^2\right)^{-1} & 0 \\ 0 & \frac{c^2(\gamma_n - 1)}{\alpha_n^2 \gamma_n} & 0 & \left(\rho_n \alpha_n^2 \gamma_n\right)^{-1} \\ \frac{\gamma_n - 1}{\gamma_n \beta_n} & 0 & -\left(\rho_n c^2 \gamma_n \beta_n\right)^{-1} & 0 \\ \Delta_n' & 0 & 0 & \left(\rho_n c^2 \gamma_n\right)^{-1} \\ \Delta_n'' & 0 & 0 & 0 \end{bmatrix}$$

and $\Delta_n', \Delta_n'', \omega_n', \omega_n''$ are constants.

Applying the condition that no sources radiate from infinity

we set $\Delta_n', \Delta_n'', \omega_n'$ equal to zero and hence

$$\begin{bmatrix} \Delta_n' \\ \Delta_n'' \\ \omega_n' \\ \omega_n'' \end{bmatrix} = E^{-1} \begin{bmatrix} \frac{\hat{u}_{n-1}}{c} \\ \frac{\hat{w}_{n-1}}{c} \\ (\hat{p}_{zz})_{n-1} \\ (\hat{\zeta})_{n-1} \end{bmatrix} \quad (11)$$

From Equation 9, Equation 11 may be written

$$\begin{bmatrix} \Delta_n' \\ \Delta_n'' \\ \omega_n' \\ \omega_n'' \end{bmatrix} = E^{-1} A_{S_2} \begin{bmatrix} \frac{\hat{u}_{q-1}}{c} \\ \frac{\hat{w}_{S_2}(D)}{c} \\ (\hat{p}_{zz})_{S_2}(D) \\ 0 \end{bmatrix}$$

or from Equation 8

$$\begin{bmatrix} \Delta_n' \\ \Delta_n' \\ \omega_n' \\ \omega_n' \end{bmatrix} = E^{-1} A_{S_2} \left\{ \begin{bmatrix} \frac{\tilde{y}_{S_1}^{a-1}}{C} \tilde{w}_{S_1}^0 (D) \\ (\rho_{zz})_{S_1} (D) \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 \\ 0 \\ \delta \left(\frac{\tilde{w}_S}{C} \right) \\ 0 \end{bmatrix} \right\}$$

and from Equation 10

$$\begin{bmatrix} \Delta_n' \\ \Delta_n' \\ \omega_n' \\ \omega_n' \end{bmatrix} = E^{-1} \left\{ A_{S_2} A_{S_1} \begin{bmatrix} \frac{\tilde{y}_{S_1}^{a-1}}{C} \tilde{w}_S^0 \\ 0 \\ 0 \\ 0 \end{bmatrix} + A_{S_2} \begin{bmatrix} 0 \\ 0 \\ \delta \left(\frac{\tilde{w}_S}{C} \right) \\ 0 \end{bmatrix} \right\} + E^{-1} A \begin{bmatrix} \frac{\tilde{y}_{S_1}^{a-1}}{C} \tilde{w}_S^0 \\ 0 \\ 0 \\ 0 \end{bmatrix} + A_{S_1}^{-1} \begin{bmatrix} 0 \\ 0 \\ \delta \left(\frac{\tilde{w}_S}{C} \right) \\ 0 \end{bmatrix} \quad (12)$$

where

$$A = A_{S_2} A_{S_1} .$$

Let

$$\begin{bmatrix} W \\ X \\ Y \\ Z \end{bmatrix} = \begin{bmatrix} \frac{\hat{u}_g}{c} \\ \frac{\hat{w}_0}{c} \\ 0 \\ 0 \end{bmatrix} + A_{S,1}^{-1} \begin{bmatrix} 0 \\ \delta(\frac{\hat{w}_s}{c}) \\ 0 \\ 0 \end{bmatrix}.$$

We may prove following Harkrider (1964) that the inverse of the

product matrix $A_{S,1}$ has the same form as the inverse of a layer matrix and hence

$$A_{S,1}^{-1} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (A_{S,1})_{33} & -(A_{S,1})_{23} & 0 \\ 0 & -(A_{S,1})_{32} & (A_{S,1})_{22} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

Therefore

$$\begin{bmatrix} W \\ X \\ Y \\ Z \end{bmatrix} = \begin{bmatrix} \frac{\hat{u}_g}{c} \\ \frac{\hat{w}_0}{c} \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (A_{S,1})_{33} & -(A_{S,1})_{23} & 0 \\ 0 & -(A_{S,1})_{32} & (A_{S,1})_{22} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 \\ \delta(\frac{\hat{w}_s}{c}) \\ 0 \\ 0 \end{bmatrix}.$$

or in terms of matrix elements

$$W = \frac{\dot{\omega}_s - 1}{c} \quad (13)$$

$$X = \frac{\dot{\omega}_s}{c} + (A_{s1})_{33} \delta\left(\frac{\dot{\omega}_s}{c}\right) \quad (14)$$

(15)

$$Y = -(A_{s1})_{32} \delta\left(\frac{\dot{\omega}_s}{c}\right) \quad (16)$$

$$Z = 0$$

We define $J = E^{-1} A$ and write Equation (12)

$$\begin{bmatrix} \dot{\Delta}_n \\ \dot{\Delta}_n \\ \dot{\omega}_s \\ \dot{\omega}_s \end{bmatrix} = \begin{bmatrix} J_{11} & J_{12} & J_{13} & J_{14} \\ J_{21} & J_{22} & J_{23} & J_{24} \\ J_{31} & J_{32} & J_{33} & J_{34} \\ J_{41} & J_{42} & J_{43} & J_{44} \end{bmatrix} \begin{bmatrix} W \\ X \\ Y \\ Z \end{bmatrix}$$

Eliminating $\dot{\Delta}_n$

$$(J_{11} - J_{21})W + (J_{12} - J_{22})X + (J_{13} - J_{23})Y + (J_{14} - J_{24})Z = 0 \quad (17)$$

and eliminating ω_n'

$$(J_{31} - J_{41})W + (J_{32} - J_{42})X + (J_{33} - J_{43})Y + (J_{34} - J_{44})Z = 0 \quad (18)$$

If we let

$$L = J_{11} - J_{21}$$

$$K = J_{12} - J_{22}$$

$$G = J_{13} - J_{23}$$

$$R = J_{14} - J_{24}$$

$$N = J_{31} - J_{41}$$

$$M = J_{32} - J_{42}$$

$$H = J_{33} - J_{43}$$

$$S = J_{34} - J_{44}$$

and solve Equations (17) and (18) for W and X respectively,

$$W = -\frac{K}{L}X - \frac{G}{L}Y - \frac{R}{L}Z \quad (19)$$

$$X = -\frac{N}{M}W - \frac{H}{M}Y - \frac{S}{M}Z \quad (20)$$

or substituting Equation 19 in Equation 20

$$X = -\frac{N}{M} \left(-\frac{K}{L} X - \frac{G}{L} Y - \frac{R}{L} Z \right) - \frac{H}{M} Y - \frac{S}{M} Z$$

and solving for X

$$X = \frac{(GN - HL)Y + (RN - SL)Z}{ML - NK}$$

and therefore from Equations (15) and (16)

$$X = \frac{(GN - HL)(A_{s1})_{32} \delta(\frac{\hat{\omega}_s}{c})}{NK - ML}$$

or from the Equation (14)

$$\frac{\dot{\hat{\omega}}_o}{c} = \frac{(GN - HL)(A_{s1})_{32} \delta(\frac{\hat{\omega}_s}{c}) - (A_{s1})_{33} \delta(\frac{\hat{\omega}_s}{c})}{NK - ML}$$

Hence, the integral solution is

$$\frac{\dot{w}_0}{c} = \int_0^\infty e^{i\omega t} \left[\frac{(GN - HL)(A_{s1})_{32} - (NK - ML)(A_{s1})_{33}}{NK - ML} \right] \cdot \frac{2k}{c} J_0(kr) dk$$

The integral solution for the horizontal particle velocity

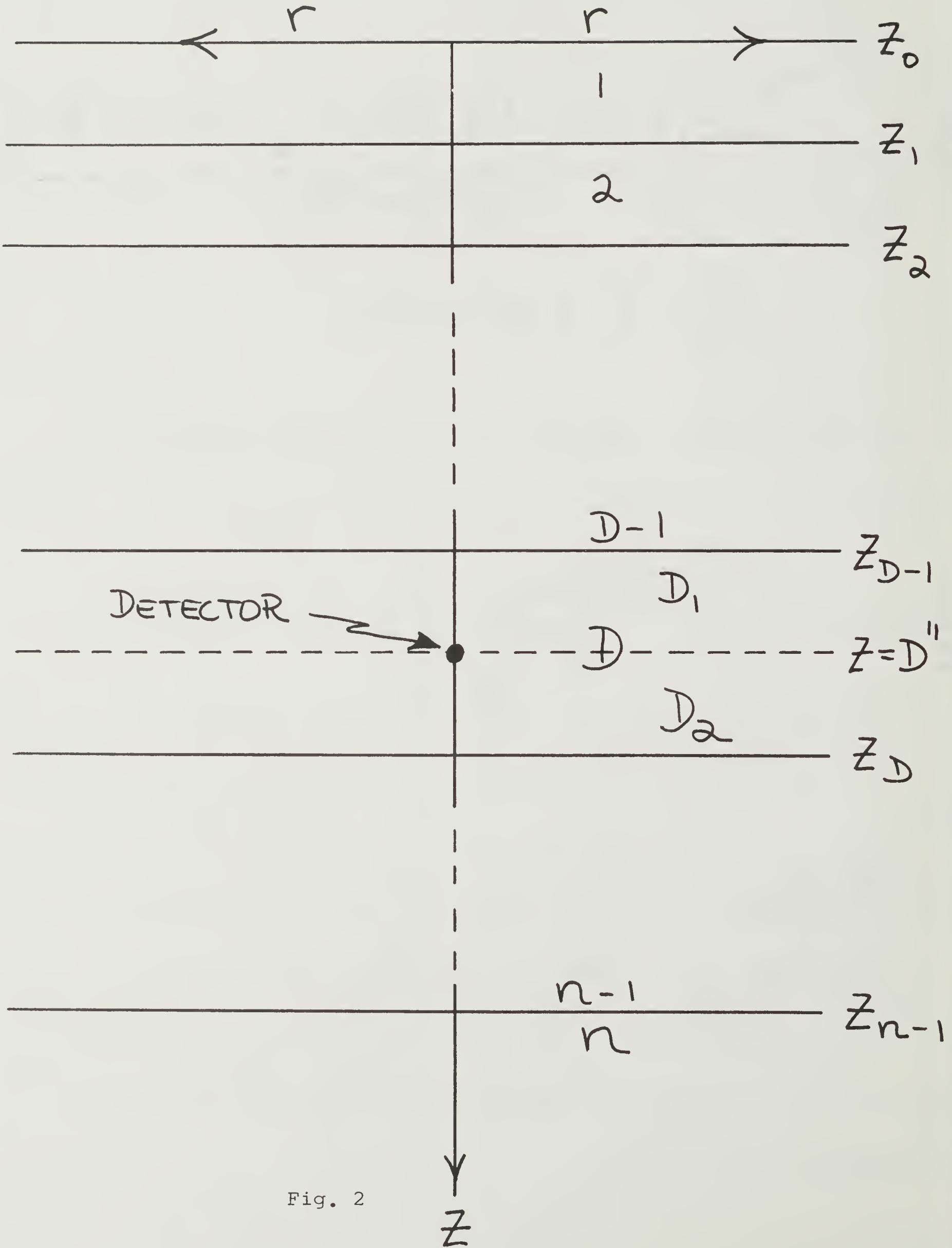
at the surface of an ice sheet is by Equation 4

$$\frac{\dot{u}_0}{c} = - \int_0^\infty e^{i\omega t} \frac{(a_{11})_{42}}{(a_{11})_{41}} \frac{\dot{\tilde{w}}_0}{iC} J_1(kr) dk$$

where

$$- \frac{(a_{11})_{42}}{(a_{11})_{41}} = \frac{\dot{\tilde{u}}_0}{\dot{\tilde{w}}_0} = \frac{\hat{u}_0}{\hat{w}_0}$$

is the ratio of horizontal to vertical particle velocity or particle displacement at the surface.



If a hydrophone or vertical particle velocity detector is

located in a liquid layer at the bottom of the D_1 layer in Figure 2,

$$\begin{bmatrix} \hat{u}_g \\ \hat{w}_c \\ \hat{p}_{zz} \\ 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (A_{D_1})_{22} & (A_{D_1})_{23} & 0 \\ 0 & (A_{D_1})_{32} & (A_{D_1})_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} \hat{u}_g \\ \hat{w}_c \\ \hat{w}_0 \\ 0 \end{bmatrix}$$

where

$$A_{D_1} = a_{D_1} b_{D_1-1} \dots a_2 b_1$$

or

$$\hat{w}_c = (A_{D_1})_{22} \hat{w}_0$$

$$(\hat{p}_{zz})_{D_1} = -b_{D_1} = (A_{D_1})_{32} \hat{w}_0$$

Hence, the integral solution for pressure at the bottom of layer D_1 is

$$p_{D_1} = - \int_0^\infty e^{i\omega t} \left[\frac{(GN - HL)(A_s)_{32} - (NK - ML)(A_s)_{33}}{NK - ML} \right] \cdot (A_{D_1})_{32} \frac{2k}{c} J_0(kr) dk$$

and the vertical particle velocity at the bottom of the D_1 layer is

$$\frac{\dot{w}_{D_1}}{c} = \int_0^{\infty} e^{i\omega t} \left[\frac{(GN - HL)(A_{s_1})_{32} - (NK - ML)(A_{s_1})_{33}}{NK - ML} \right]$$

$$\cdot \frac{2k}{c} (A_{D_1})_{22} J_0(kr) dk$$

In particular at the ocean bottom

$$\frac{\dot{w}_{D_1}}{c} = \frac{\dot{w}_{f-1}}{c} .$$

The corresponding horizontal particle velocity at the ocean

bottom is obtained from the expression

$$\begin{bmatrix} \dot{\Delta}_n' \\ \dot{\Delta}_n \\ \dot{w}_n \\ \dot{w}_n' \end{bmatrix} = J \begin{bmatrix} \dot{u}_{f-1} \\ \frac{\dot{w}_{f-1}}{c} \\ \dot{w}_0 \\ 0 \\ 0 \end{bmatrix}$$

or by eliminating $\dot{\Delta}_n'$

$$\frac{\dot{u}_{f-1}}{\dot{w}_0} = - \frac{K}{L} .$$

Therefore

$$\frac{u_g}{c} = - \int_0^\infty e^{i\omega t} \frac{K}{L} \left[\frac{(GN - HL)(A_{S132}) - (NK - ML)(A_{S133})}{NK - ML} \right] \cdot \frac{2k}{cc} J_1(kr) dk$$

Carrying out the matrix multiplication

$$\begin{bmatrix} \Delta_n' \\ \Delta_n' \\ \omega_n' \\ \omega_n' \end{bmatrix} = E^{-1} A \begin{bmatrix} W \\ X \\ Y \\ Z \end{bmatrix}$$

and eliminating Δ_n' and ω_n' explicit expressions for

L, K, G, N, M and H are

$$L = \gamma_n A_{11} + \frac{\gamma_n - 1}{r_{\alpha_n}} A_{21} - \frac{A_{31}}{P_n C^2} + \frac{A_{41}}{P_n C^2 r_{\alpha_n}}$$

$$K = r_n A_{12} + \frac{r_{n-1}}{r_{\alpha_n}} A_{22} - \frac{A_{32}}{P_n C^2} + \frac{A_{42}}{P_n C^2 r_{\alpha_n}}$$

$$G = r_n A_{13} + \frac{r_{n-1}}{r_{\alpha_n}} A_{23} - \frac{A_{33}}{P_n C^2} + \frac{A_{43}}{P_n C^2 r_{\alpha_n}}$$

$$N = -\frac{r_{n-1}}{r_{\beta_n}} A_{11} + r_n A_{21} + \frac{A_{31}}{P_n C^2 r_{\beta_n}} + \frac{A_{41}}{P_n C^2}$$

$$M = -\frac{r_{n-1}}{r_{\beta_n}} A_{12} + r_n A_{22} + \frac{A_{32}}{P_n C^2 r_{\beta_n}} + \frac{A_{42}}{P_n C^2}$$

$$H = -\frac{r_{n-1}}{r_{\beta_n}} A_{13} + r_n A_{23} + \frac{A_{33}}{P_n C^2 r_{\beta_n}} + \frac{A_{43}}{P_n C^2}$$

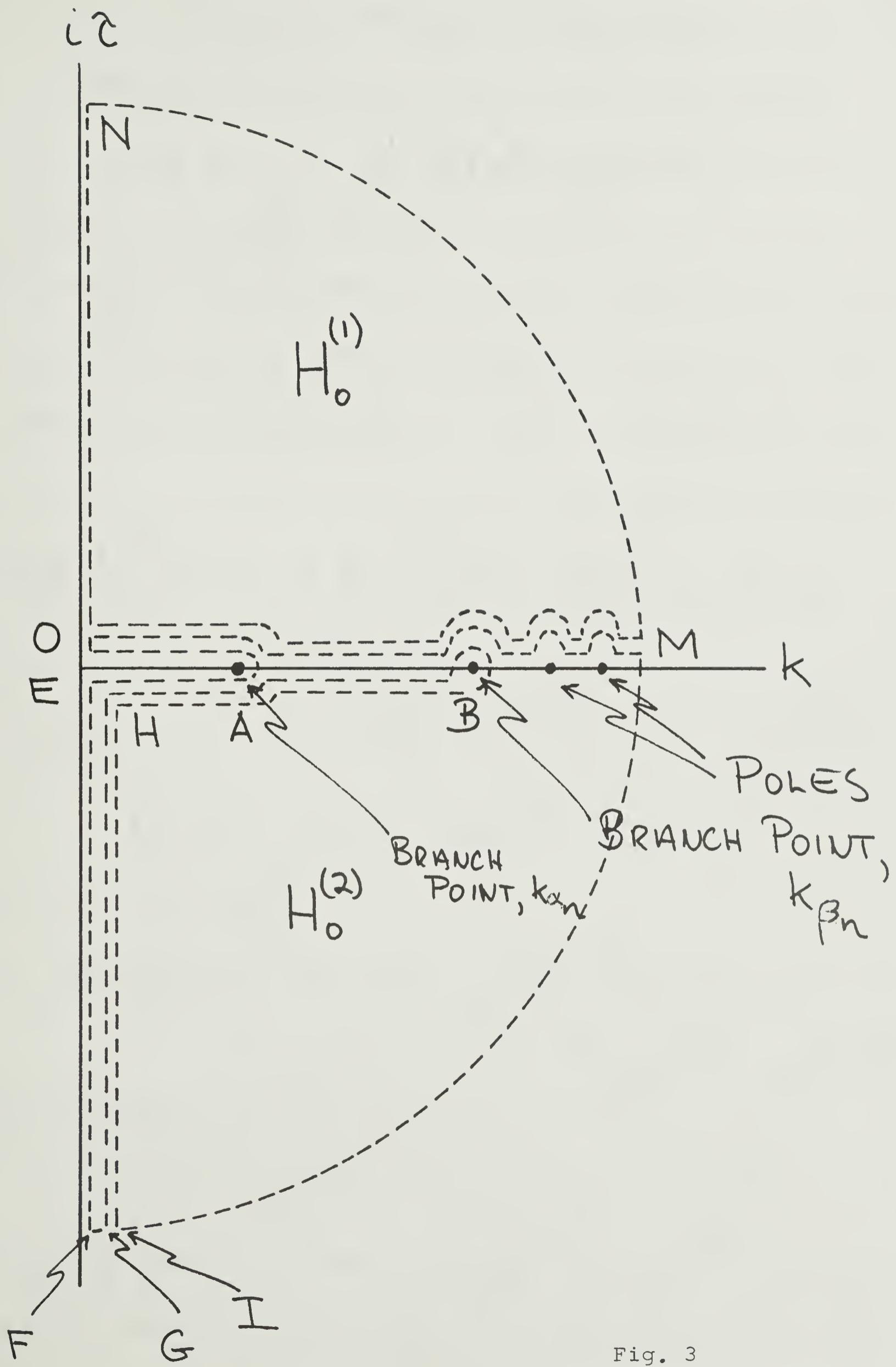


Fig. 3

EVALUATION OF THE INTEGRAL SOLUTIONS

The integral solutions have singularities corresponding to

$$(NK - ML)_{k=k_e} = 0$$

These are simple poles for real wave number and the integral solutions are conveniently evaluated by contour integration in the

complex $\xi = k + i\tilde{\tau}$ plane. The contours are shown in Figure 3.

First the transformation

$$2J_0(\xi r) = H_0^{(1)}(\xi r) + H_0^{(2)}(\xi r)$$

is made. In addition to simple poles at

$$(NK - ML)_{k=k_e} = 0$$

branch points occur at $k r_{\alpha_m}$ for the liquid layers and

$k r_{\alpha_p}, k r_{\beta_p}$ for each solid layer.

To make the integrand single valued we must introduce branch cuts originating at the branch points $k r_{\alpha_m}$ for each liquid

layer, and $k r_{\alpha_p}, k r_{\beta_p}$ for each solid layer. We may then

apply Cauchy's Integral Theorem. We note that since the integrands are

even functions of $kr_{\alpha_m}, (kr_{\alpha_p}, kr_{\beta_p})$ branch line

integrals corresponding to branch cuts made to these branch points

cancel, and only the branch cuts for $kr_{\alpha_n}, kr_{\beta_n}$ must be considered.

The Riemann surface has four sheets. To satisfy the vanishing of \dot{W}_0 as γ approaches infinity we must remain on the

sheet where the real part of $if\gamma_{\alpha_n}$ and $if\gamma_{\beta_n}$ is greater than zero.

For the contours shown in Figure 3 complex poles have been

displaced to an unused Riemann surface (Ewing et al 1957, pp 136-137).

The integral for \dot{W}_0 , dropping the $e^{i\omega t}$ term, is

now transformed in the upper half-plane to

$$\int_0^M I_1 d\gamma + \int_{C(M,N)} I_1 d\gamma + \int_N^0 I_1 d\gamma = 0 \quad (21)$$

and in the lower half-plane to

$$\int_E^F I_2 d\gamma + \int_G^H I_2 d\gamma + \int_H^A I_2 d\gamma + \int_A^B I_2 d\gamma + \int_B^A I_2 d\gamma + \int_H^H I_2 d\gamma + \int_H^I I_2 d\gamma + \int_I^M I_2 d\gamma + \int_M^0 I_2 d\gamma \quad (22)$$

$$\oint I_2 dk = 2\pi i \sum \text{RES}(I_2)$$

OAE

where

$$I_1 = \frac{k}{C} \left[\frac{(GN - HL)(A_{S1})_{32} - (NK - ML)(A_{S1})_{33}}{NK - ML} \right] H_0^{(1)}(\zeta r)$$

$$I_2 = \frac{k}{C} \left[\frac{(GN - HL)(A_{S1})_{32} - (NK - ML)(A_{S1})_{33}}{NK - ML} \right] H_0^{(2)}(\zeta r)$$

Adding Equations 21 and 22 and noting that the integrals along the infinite arcs such as $C(M, N)$ are zero we have the desired result

$$\dot{w}_0 = \int_0^\infty I_1 dk + \int_0^\infty I_2 dk = \sum \left(\text{BRANCH CUTS} \right) - 2\pi i \sum \text{RES}(I_2)$$

The branch line integrals are difficult to evaluate numerically

and discussion of them is omitted, but we note that the proper

signs for the radicals

Γ_{α_n} , Γ_{β_n}

must be made on each

side of a cut.

We have as our final result for the normal modes for \dot{w}_0 and

$$\dot{\omega}_0 = -\pi i \sum_e \left\{ \frac{(GN - HL)(A_{s1})_{32} 2k H_0^{(2)}(kr)}{\frac{\partial}{\partial k} (NK - ML)} \right\}_{k=k_e}$$

$$\dot{p}_{D_1} = \pi i \sum_e \left\{ \frac{(GN - HL)(A_{s1})_{32} (A_{D_1})_{32} 2k H_0^{(2)}(kr)}{c \frac{\partial}{\partial k} (NK - ML)} \right\}_{k=k_e}$$

where the period equation (phase velocity dispersion) $(KN - LM)_{k=k_e} = 0$

has been used to simplify the expressions. Group velocity dispersion is given by $U = \frac{d\omega}{dk}$.

Corresponding expressions may be written for the vertical particle velocity at depth or the horizontal particle velocity at the ice surface or on the ocean bottom.

For a constant pressure source we multiply $\dot{\omega}_0$ and \dot{p}_{D_1} by $\frac{P_0}{i\omega \rho_s}$, where P_0 is the pressure at unit distance from the source, and write

$$\dot{\omega}_0 = \pi P_0 \sum_e \left\{ \frac{(GN - HL)(A_{s1})_{32} 2\omega H_0^{(2)}(kr)}{c^3 \rho_s \frac{\partial}{\partial c} (NK - ML)} \right\}_{c=c_e}$$

$$P_{D_1} = -\pi P_0 \left\{ \frac{(GN - HL)(A_{S_1})_{32} (A_{D_1})_{32} 2\omega H_0^{(2)}(kr)}{c^4 \rho_s \frac{\partial}{\partial c} (NK - ML)} \right\}_{c=c_0}.$$

Let

$$\Delta = ML - \text{Im}(N) \text{Im}(-K)$$

$$\bar{\Delta} = L \text{Im}(H) - G \text{Im}(N)$$

For programming it is convenient to write the expressions for vertical particle velocity at the surface and pressure in the form

$$\dot{w}_0 = 2\pi P_0 \left\{ \frac{\omega \bar{\Delta} \text{Im}[-(A_{S_1})_{32}] H_0^{(2)}(kr)}{c^3 \rho_s \frac{\partial \Delta}{\partial c}} \right\}_{c=c_0} \quad (23)$$

$$P_{D_1} = 2\pi P_0 \left\{ \frac{\omega \bar{\Delta} \text{Im}[-(A_{S_1})_{32}] \text{Im}[-(A_{D_1})_{32}] H_0^{(2)}(kr)}{c^4 \rho_s \frac{\partial \Delta}{\partial c}} \right\}_{c=c_0} \quad (24)$$

If we set

$$H_0^{(2)}(kr) = J_0(kr) - iY_0(kr)$$

in Equations 23 and 24, we have

$$\dot{w}_0 = w_1 - i w_2$$

and for the absolute value of the vertical particle velocity, a quantity conveniently measured in transmission experiments

$$|\dot{w}_0| = \sqrt{w_1^2 + w_2^2}$$

where

$$w_1 = 2\pi P_0 \left\{ \frac{\omega \bar{\Delta} \operatorname{Im} [-(A_{S1})_{32}] J_0(kr)}{c^3 \rho_s \frac{\partial \Delta}{\partial c}} \right\}_{c=c_0}$$

$$W_2 = 2\pi P_0 \sum_{\ell} \left\{ \frac{\bar{\omega} \bar{\Delta} \operatorname{Im}[-(A_{S1})_{32}] Y_0(kr)}{c^3 \rho_s \frac{\partial \Delta}{\partial c}} \right\}_{c=c_\ell}.$$

Likewise for the vertical particle displacement, multiplying

$$W_0 \quad \text{by} \quad \frac{1}{i\bar{\omega}}$$

we have

$$W_0 = -W_3 - iW_4$$

or

$$|W_0| = \sqrt{W_3^2 + W_4^2}$$

where

$$W_3 = 2\pi P_0 \sum_{\ell} \left\{ \frac{\bar{\Delta} \operatorname{Im}[-(A_{S1})_{32}] Y_0(kr)}{c^3 \rho_s \frac{\partial \Delta}{\partial c}} \right\}_{c=c_\ell}$$

$$W_4 = 2\pi P_0 \sum_{\ell} \left\{ \frac{\bar{\Delta} \operatorname{Im}[-(A_{S1})_{32}] J_0(kr)}{c^3 \rho_s \frac{\partial \Delta}{\partial c}} \right\}_{c=c_\ell}$$

and for pressure

$$f_{D_1} = W_5 + iW_6$$

$$|f_{D_1}| = \sqrt{W_5^2 + W_6^2}$$

where

$$W_5 = 2\pi P_0 \sum_e \left\{ \frac{\omega \bar{\Delta} \operatorname{Im}[-(A_{S_1})_{32}] \operatorname{Im}[-(A_{D_1})_{32}] Y_0(kr)}{c^4 \rho_s \frac{\partial \Delta}{\partial c}} \right\}$$

$$W_6 = 2\pi P_0 \sum_e \left\{ \frac{\omega \bar{\Delta} \operatorname{Im}[-(A_{S_1})_{32}] \operatorname{Im}[-(A_{D_1})_{32}] J_0(kr)}{c^4 \rho_s \frac{\partial \Delta}{\partial c}} \right\}$$

The solid layer matrices are programmed as

$$\begin{bmatrix} (a_m)_{11} & -\operatorname{Im}[(a_m)_{12}] & (a_m)_{13} & -\operatorname{Im}[(a_m)_{14}] \\ \operatorname{Im}[(a_m)_{21}] & (a_m)_{22} & \operatorname{Im}[(a_m)_{23}] & (a_m)_{24} \\ (a_m)_{31} & -\operatorname{Im}[(a_m)_{32}] & (a_m)_{33} & -\operatorname{Im}[(a_m)_{34}] \\ \operatorname{Im}[(a_m)_{41}] & (a_m)_{42} & \operatorname{Im}[(a_m)_{43}] & (a_m)_{44} \end{bmatrix}$$

or for solid layers between liquid layers

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (b_m)_{22} & \text{Im}[(b_m)_{23}] & 0 \\ 0 & -\text{Im}[(b_m)_{32}] & (b_m)_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

and the liquid layer matrices as

$$\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & (a_m)_{22} & \text{Im}[(a_m)_{23}] & 0 \\ 0 & -\text{Im}[(a_m)_{32}] & (a_m)_{33} & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

NUMERICAL COMPUTATIONS

The present formulas for the pressure in dynes/cm² and the vertical and horizontal particle displacements in millimicrons are incorporated in the same computer programs employed previously (Kutschale, 1970). Computations are made in two stages. The first program, an extension of Dorman's (1962) PV7 dispersion program, computes phase-and group-velocity dispersion, the variation of pressure with depth in the water, the ratio of horizontal to vertical particle motion in the ice and on the ocean bottom, the excitation function dependent only on the layering of the medium and the excitation function for the particular source and detector depths. For the l-th normal mode the excitation function of pressure dependent only on layering is defined by

$$\left(\frac{2\sqrt{2\pi\omega} P_0 \bar{\Delta}}{C^{\frac{7}{2}} \frac{\partial \Delta}{\partial C}} \right)$$

$\omega = \text{CONSTANT}$
 $C = C_0$

and the excitation function for the particular source and detector depths is

$$\left\{ \frac{2\sqrt{2\pi}w P_0 \bar{\Delta}}{C^{\frac{3}{2}} \frac{\partial \Delta}{\partial c}} \operatorname{Im} \left[-\left(A_{S,32} \right) \right] \operatorname{Im} \left[-\left(A_{D,32} \right) \right] \right\}$$

$w = \text{const}$
 $c = c_0$

These definitions were chosen to be useful at long ranges where

$$H_0^{(2)}(kr) = \sqrt{\frac{2}{\pi kr}} e^{i(\frac{\pi}{4} - kr)}$$

Corresponding expressions are defined for particle velocity and displacement. The second program computes and plots pressure or particle displacement as a function of range.

Sample numerical computations are presented for the layered Model A of Table 1. Layer thicknesses and compressional wave velocities are similar to those of Hunkins and Kutschale (1963) used for describing explosive sound transmission on the Chuckchi

TABLE 1
Layer Parameters for Model A

Layer	Thickness, m	Compressional- Wave Velocity, m/sec	Shear-Wave Velocity, m/sec	Density, gm/cm ³
Ice	3	3500.0	1800.0	0.900
Water	67	1440.0	0.0	1.025
Sediment	15	1750.0	250.0	1.800
Sediment	00	2300.0	1000.0	2.050

TABLE 2
Source and Detector Parameters
for Model A

Source level at 1 m, dynes/cm ²	Source depth, m	Detector depth, m
100.0	50.0	50.0

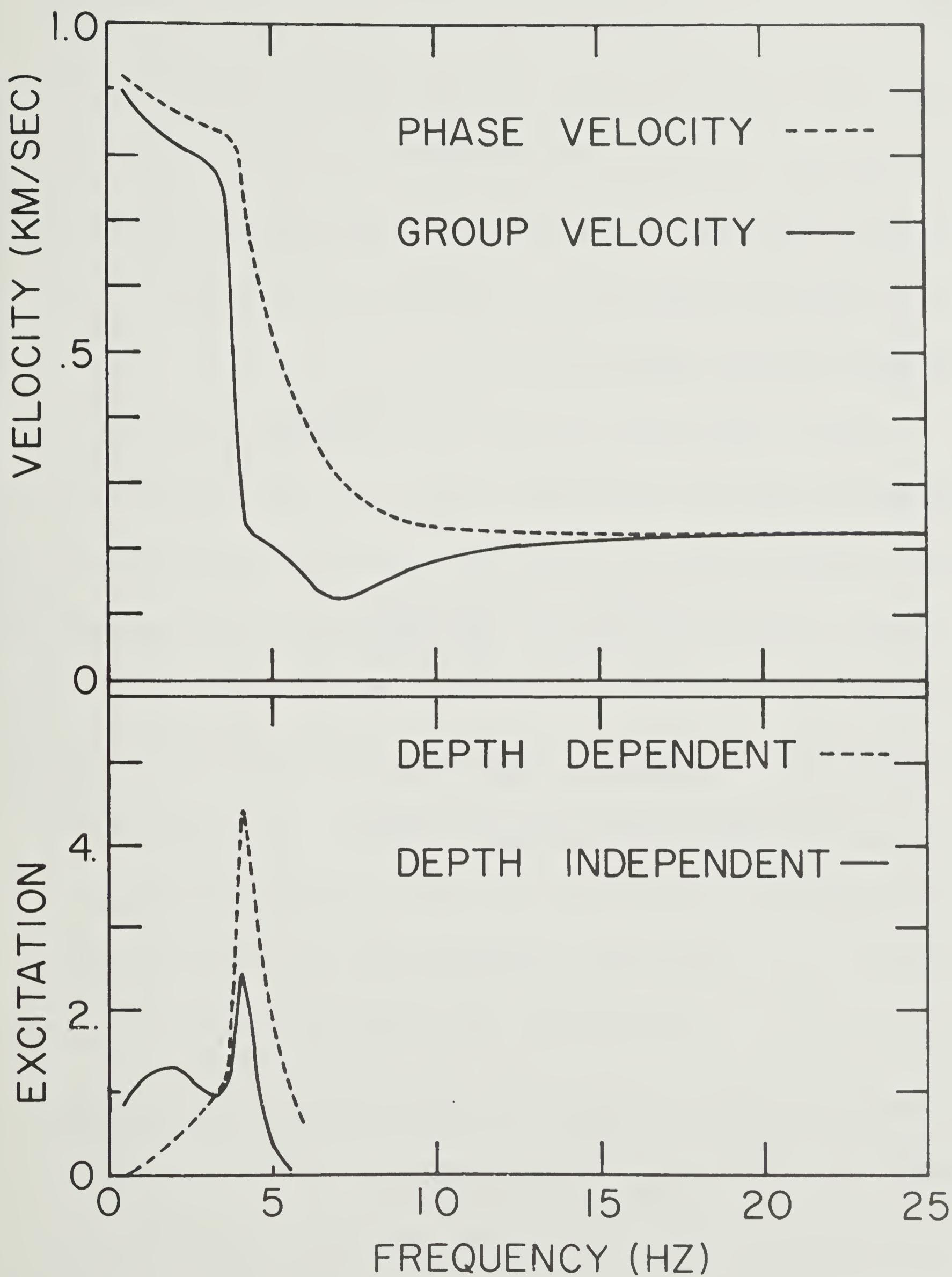


Fig. 4

Shelf north of Barrow, Alaska. The ice sheet is represented by a uniform layer 3 m thick. The assignment of shear-wave velocities to the sediments was made on the basis of observations of dispersion of Rayleigh waves from explosions detonated on the Chuckchi Shelf (Hunkins, personal communication).

Figure 4 shows phase and group velocity dispersion for the fundamental Rayleigh mode as well as the depth independent and depth dependent excitation functions. Source and detector depths for Model A are given in Table 2. The computations show that the ice sheet has very little effect on amplitudes in the water and on dispersion. The principal effect of the ice sheet is to change the polarization of the waves near the surface. If the ice sheet is neglected only vertical particle motion exists at the surface, but when the ice sheet is included in the layered system the waves are elliptically polarized at the surface and change their orbital motion through the ice sheet. This effect of the ice on particle motion near the surface is also observed for hydroacoustic waves traveling in the Arctic SOFAR channel (Kutschale, 1972). Figure 5

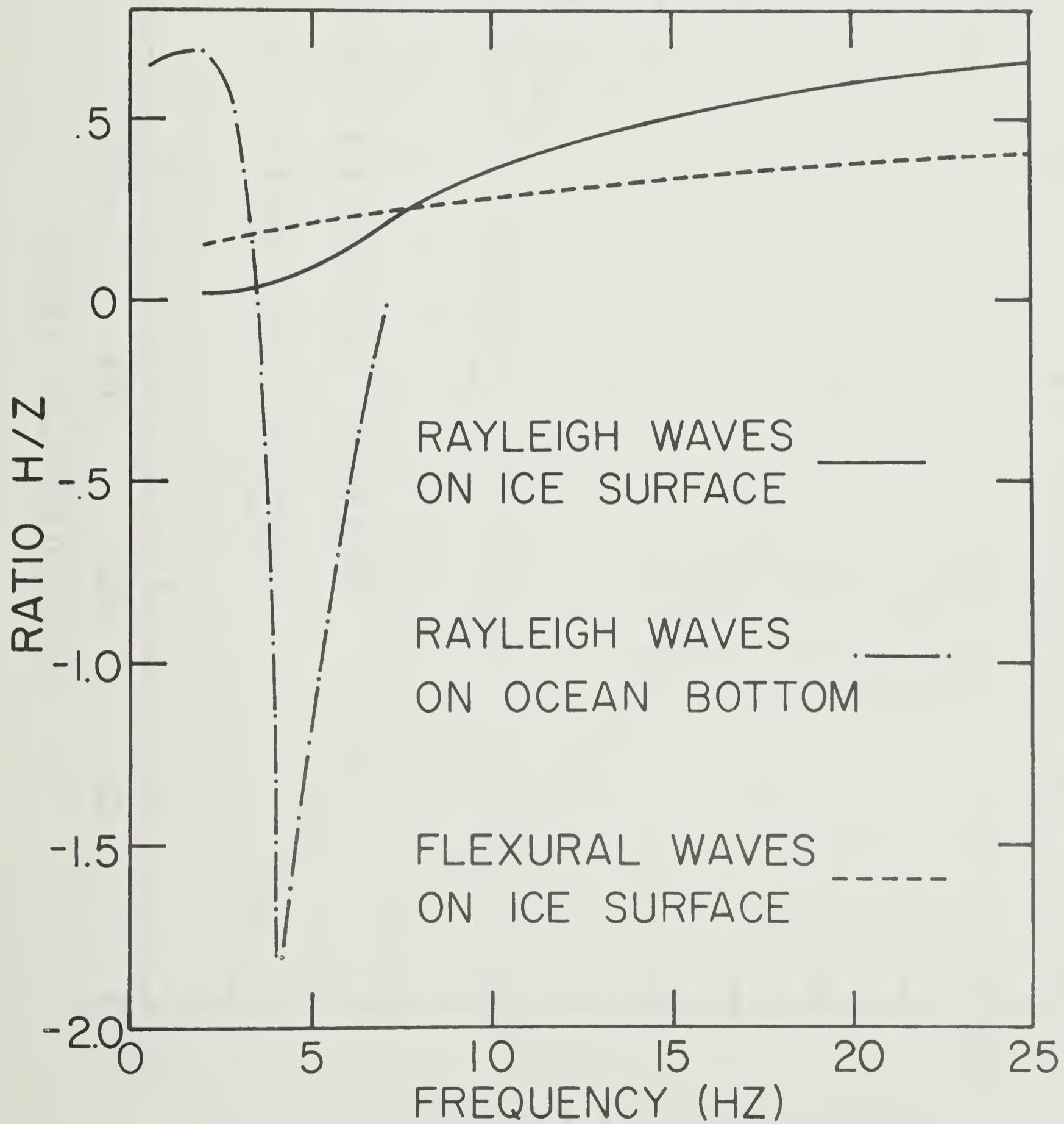


Fig. 5

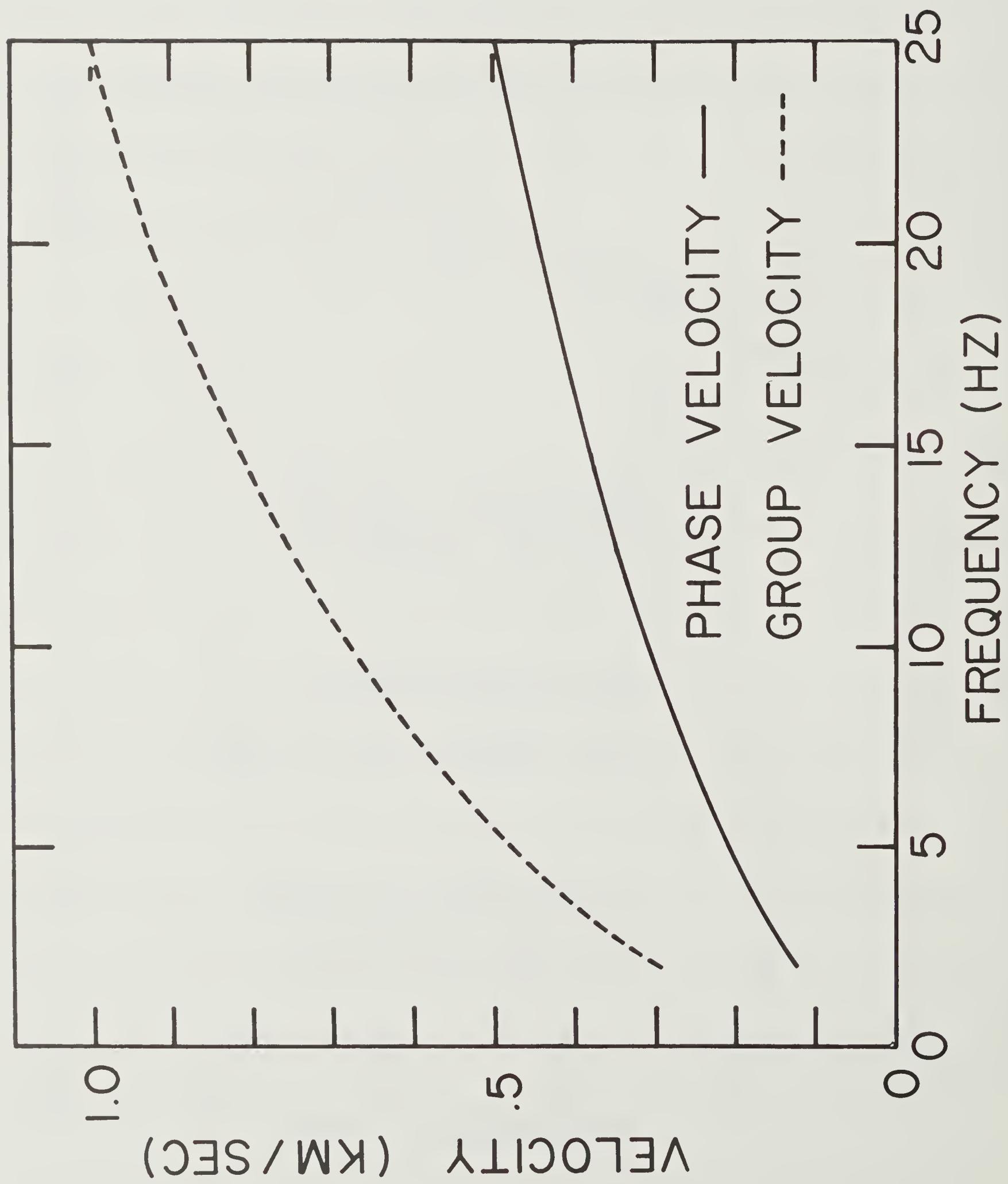


Fig. 6

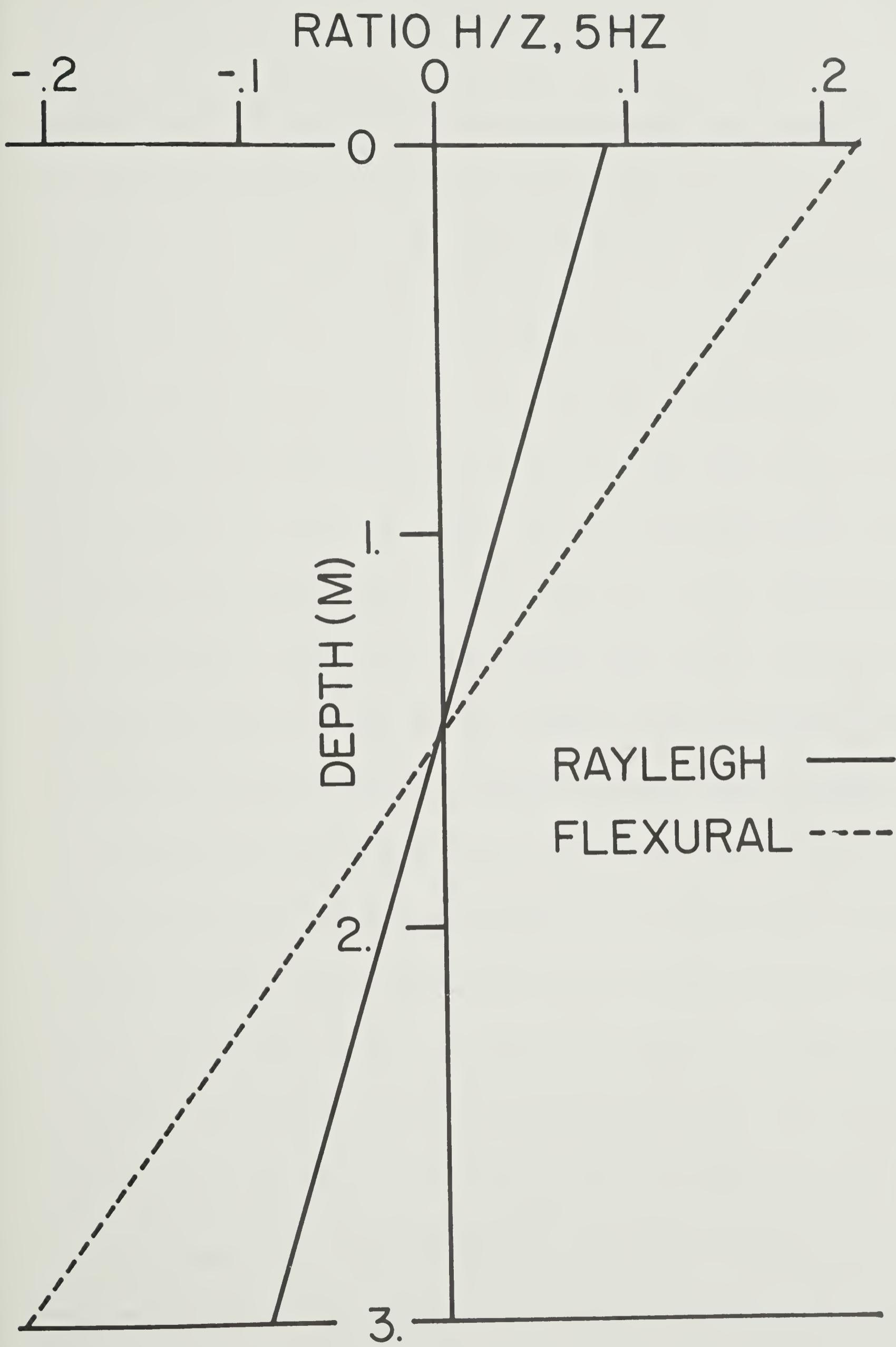


Fig. 7

shows the ratio of horizontal to vertical particle motion of the fundamental Rayleigh mode on the ice surface as well as on the ocean bottom. For comparison Figure 5 also shows the same ratio of flexural waves at the ice surface. Figure 6 shows the dispersion of the flexural waves for Model A. Flexural waves are surface waves traveling in the ice sheet with pressure decreasing exponentially with depth below the ice. These waves are weakly excited from sources at depth, but they form the predominant ice vibrations from pressure ridging and fracturing at the edges of the floe on which listening is done. Figure 7 shows that both Rayleigh waves and flexural waves exhibit prograde elliptical motion on the surface of the ice and retrograde motion on the bottom of the ice. Since the vertical motion is nearly constant in the ice from top to bottom for both flexural waves and Rayleigh waves, Figure 7 essentially shows the variation of horizontal motion with depth. Computations of the ratio of horizontal to vertical particle motion in the ice at frequencies up to 25 Hz exhibit a similar polarization to that of Figure 7 for both types of waves. On the basis of particle motion, the low frequency ice vibrations of the Rayleigh mode



Fig. 8

may be classified as antisymmetric vibrations of the plate; that is, a bending of the plate in a manner similar to that of flexural waves.

In Figure 8 the range dependence of pressure at 5 Hz is plotted for the fundamental Rayleigh mode. Source level, source depth and hydrophone depth are given in Table 2 for Model A.

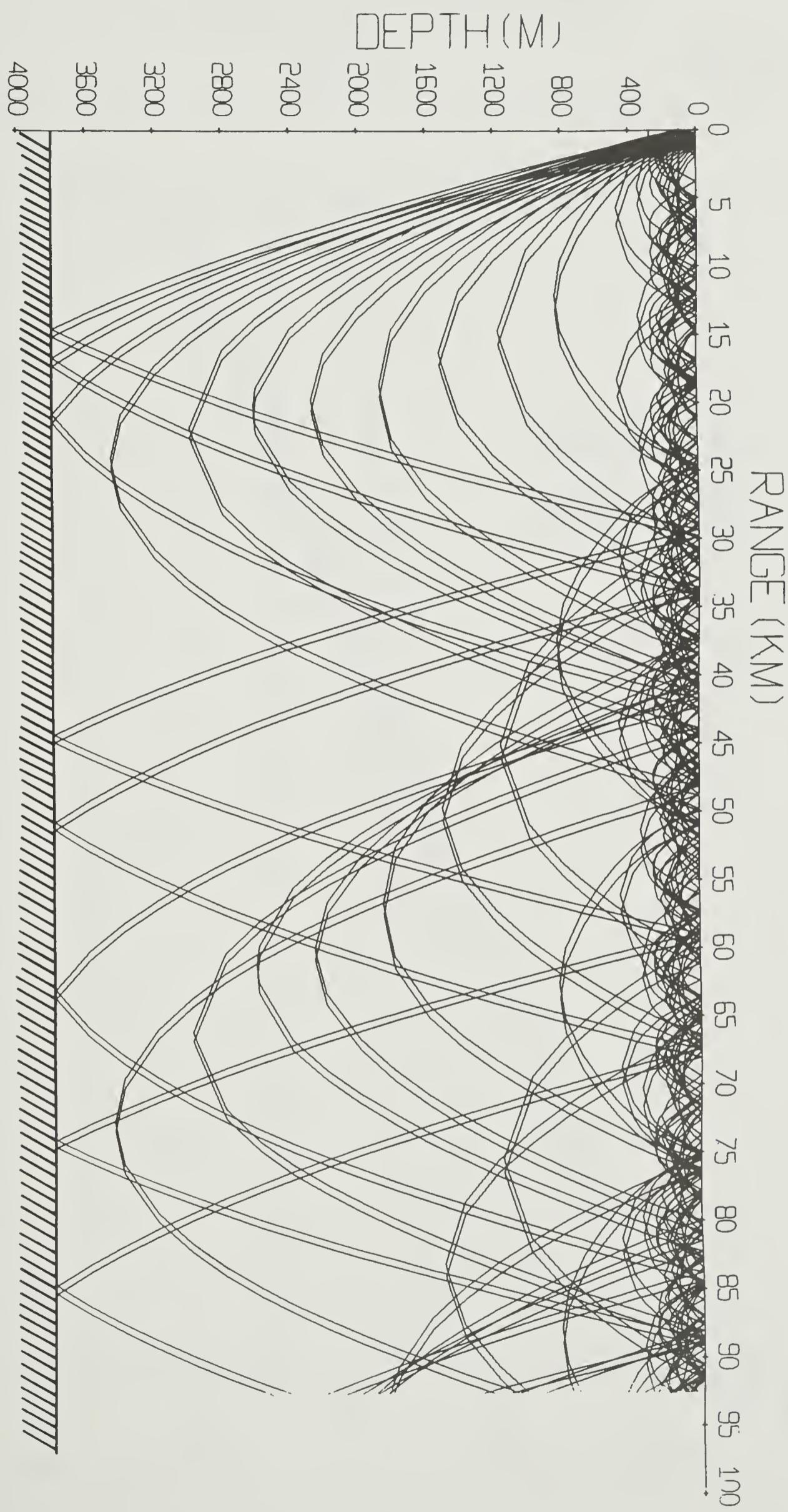


Fig. 9

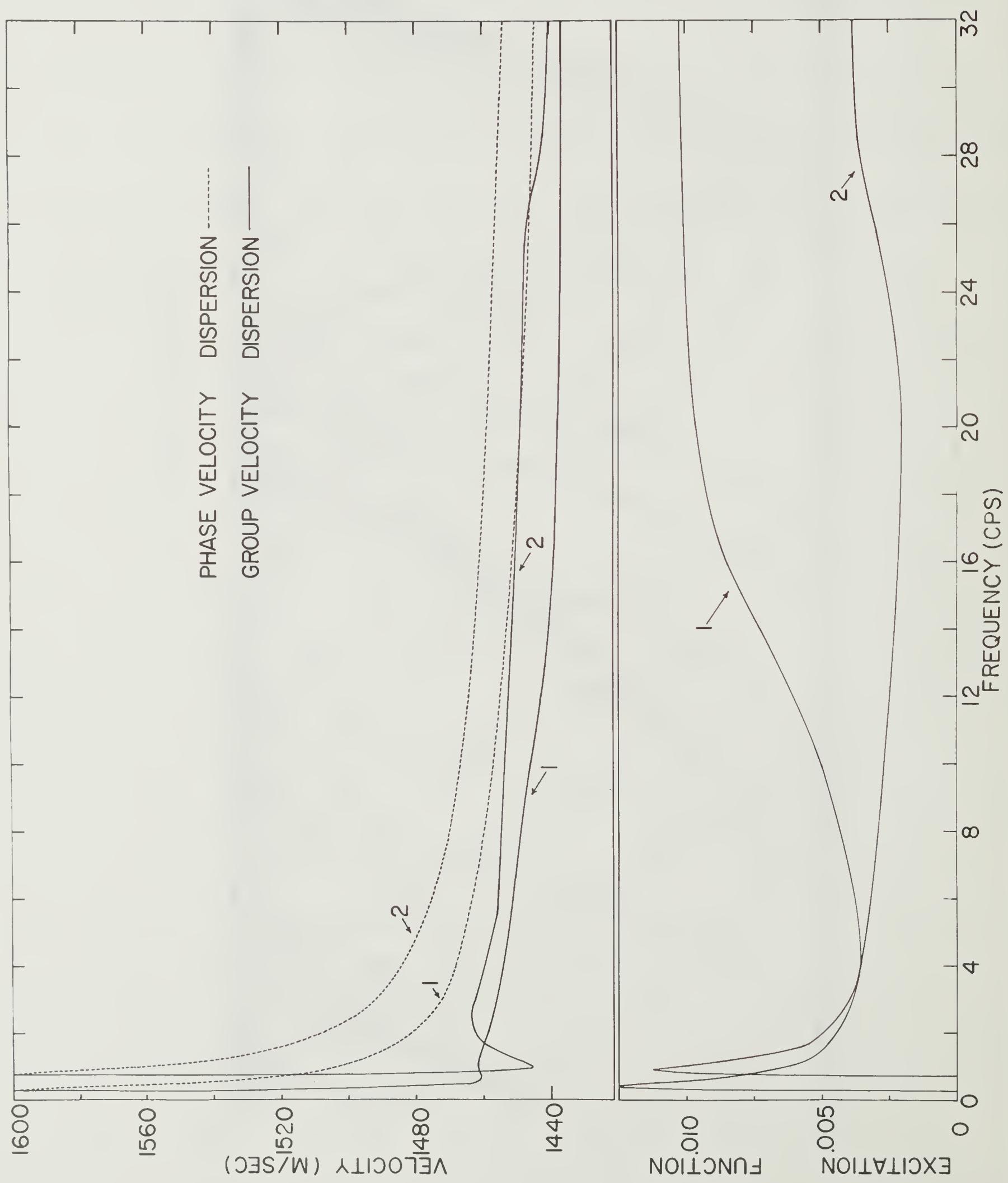


Fig. 10

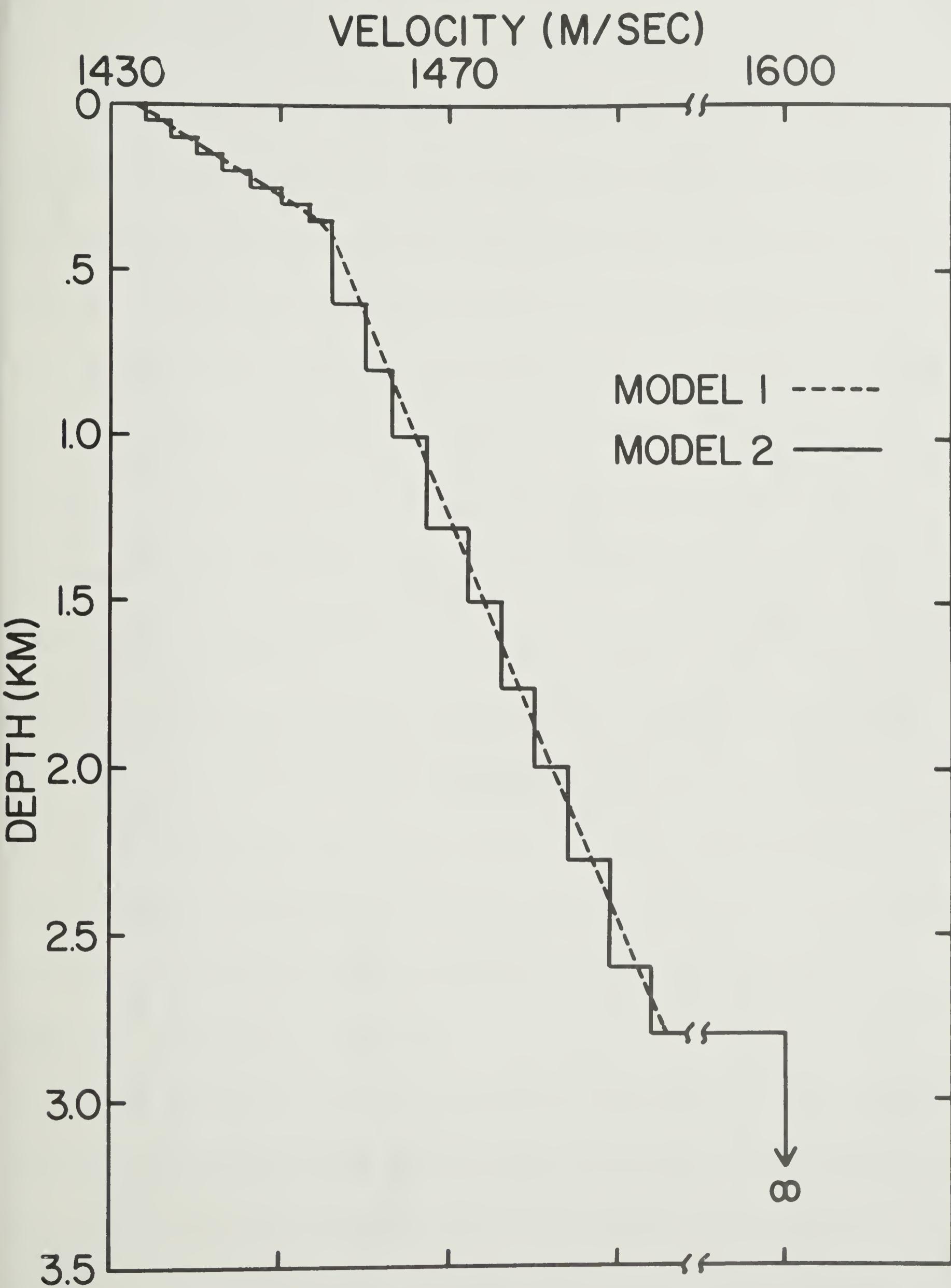
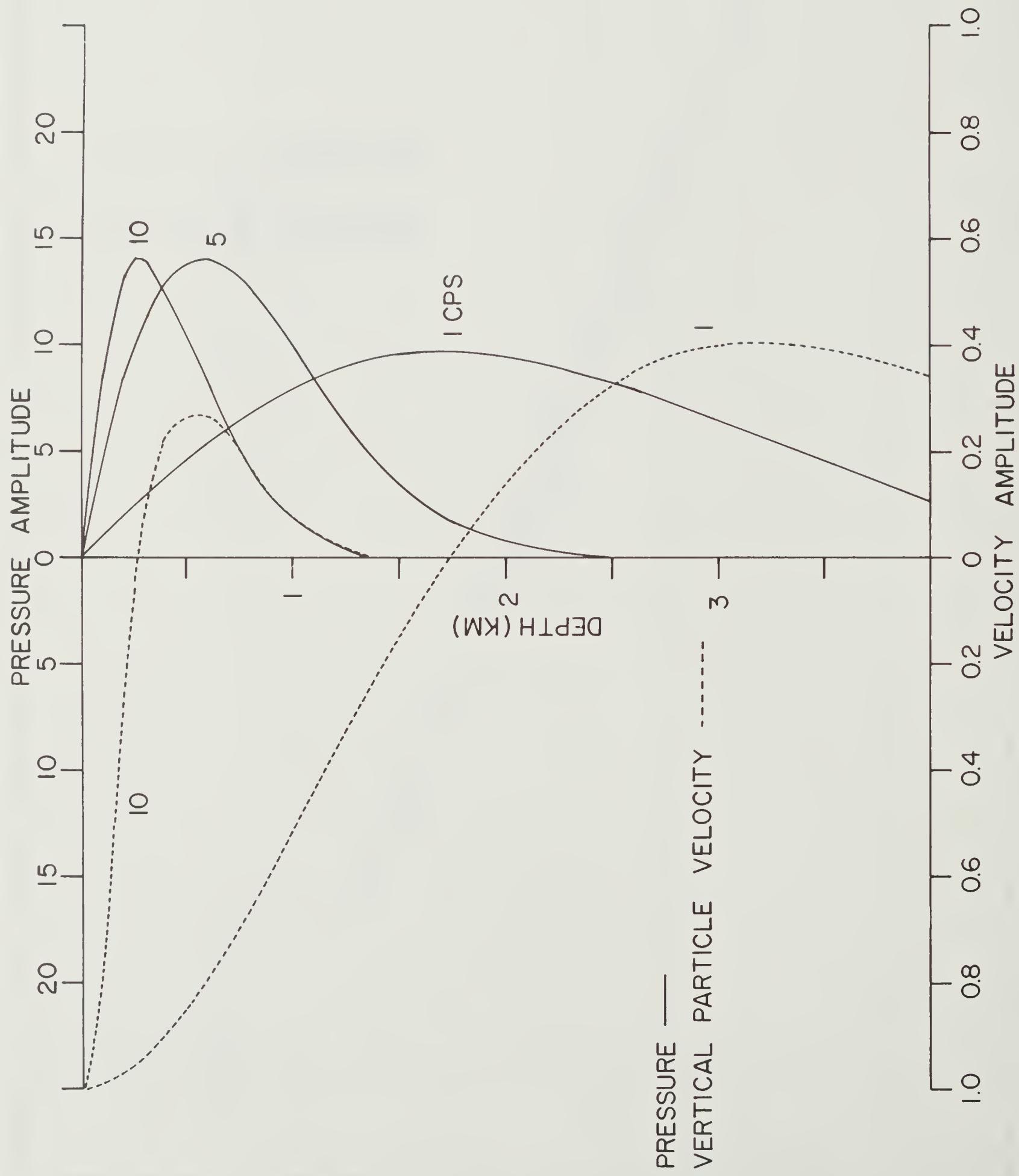


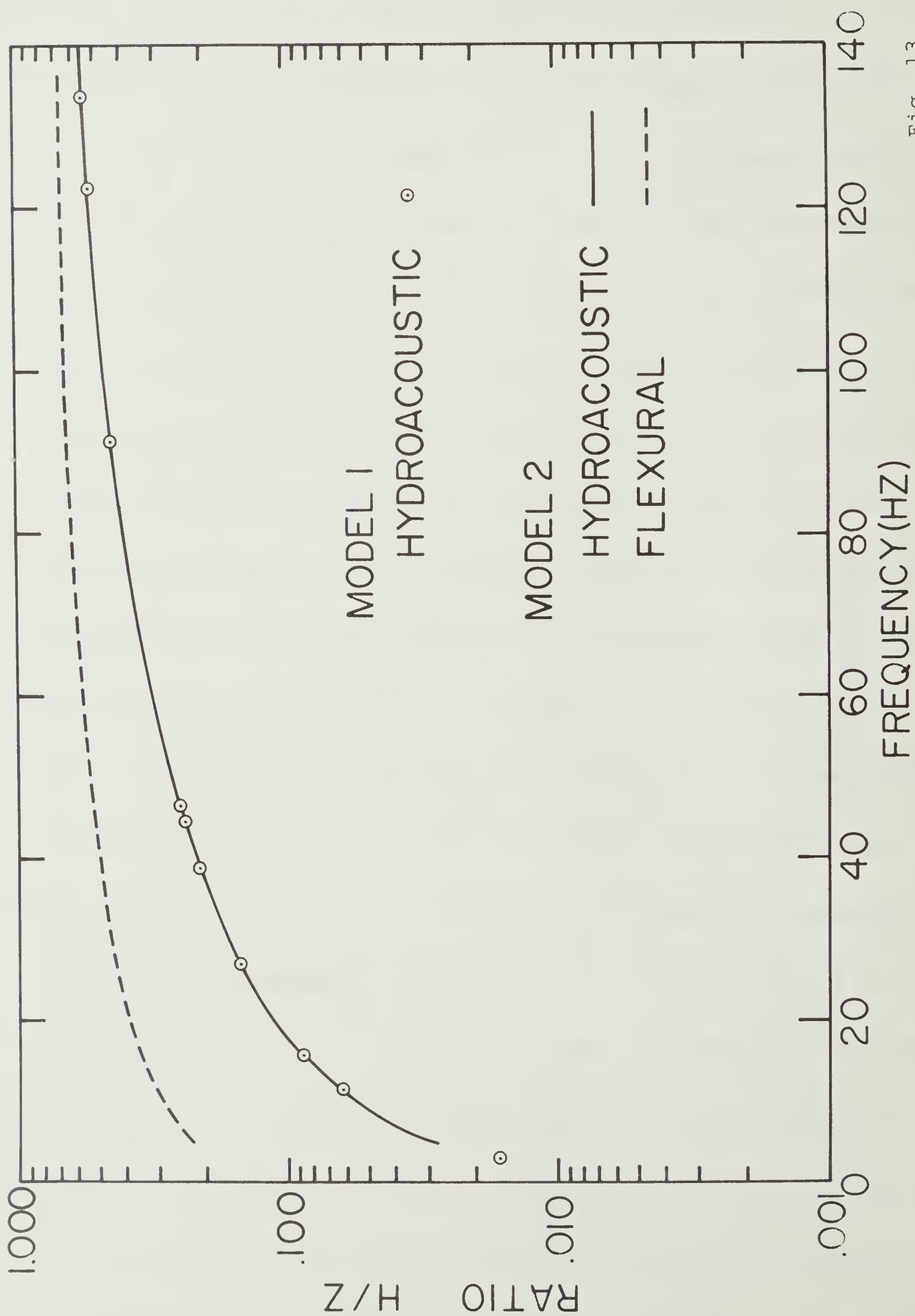
Fig. 11



SUMMARY OF WORK DONE ON BEHALF OF NOL

The present report completes a formulation for describing low-frequency propagation in the ice covered Arctic Ocean. In a report in preparation numerous numerical computations will be presented for deep and shallow water propagation to illustrate the effects of an ice layer on group and phase velocity dispersion, variation of pressure with depth in the ocean, particle motions in the ice, and the variation of pressure and particle motions as a function of range from the source. We summarize the principal features here in deep water for waves propagating in the SOFAR channel. Sample ray paths are shown in Figure 9. Figure 10 shows phase and group velocity dispersion and the depth independent excitation function for the first two modes computed for Model 2 of Figure 11 but in water 4KM deep. The variation of pressure and vertical particle velocity in the water for the first mode is shown in Figure 12. Parameters for the ice sheet are the same as in Table 1.

For an ice layer 3 m thick, typical of the central Arctic Ocean, the effect on pressure amplitudes at depth and dispersion is small, but even this thin ice sheet causes a large change in particle motions near the surface. The effect is similar to that of Rayleigh



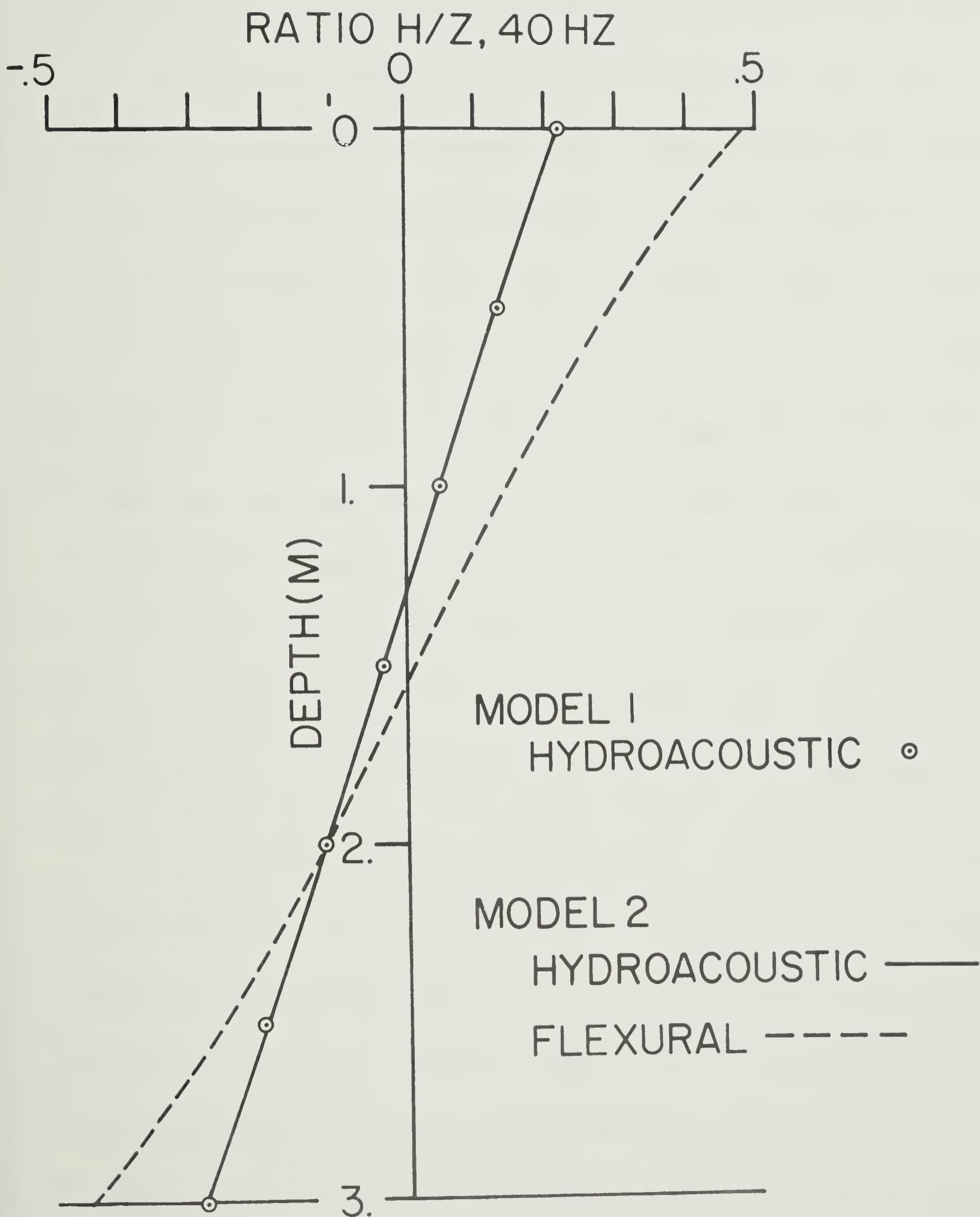


Fig. 14

waves illustrated in the previous section. Waves are elliptically polarized in the plane of propagation. The ratio of horizontal to vertical particle motion is quite sensitive to ice thickness. To speed the numerical work, a rapid method was developed for computing particle in the ice by the W.K.B. method (Kutschale, 1972).

Figure 13 shows an example of the ratio of horizontal to vertical particle motion at the ice surface for hydroacoustic waves of the first normal mode computed by the W.K.B. method (Model 1 of Fig. 11) and exact theory (Model 2 of Fig. 11). For comparison this same ratio is shown for flexural waves. Ice thickness is 3 m. A positive ratio corresponds to retrograde elliptical motion and a negative one to prograde elliptical motion. Flexural waves generated by large-scale ice movements at the boundaries of floes are the principal background noise in the ice and it is important to compare the particle motions of flexural waves in the ice with those of the hydroacoustic waves. Fig. 14 shows the principal features at low frequencies for both types of waves. Particle motion is retrograde elliptical at the surface and prograde elliptical at the bottom of the ice. Note that Rayleigh waves of Figures 5 and 7 also show this type of particle motion. Since the vertical motion

is nearly constant through the ice for both flexural waves and hydroacoustic waves, these plots essentially show the variation of horizontal particle motion with depth in the ice. It is significant that a node of horizontal particle motion often does not occur at the same depth in the ice for both hydroacoustic waves and flexural waves. This effect may be useful to improve the signal to noise ratio when horizontal component geophones are used as listening devices.

Future work will emphasize analysis of field data and comparison of these data with theory. Over one hundred recordings from explosions have been made in deep water and shallow water areas under various ice conditions employing as listening devices vertical and horizontal component geophones on the ice as well as hydrophones at depth. An analysis of these recordings will establish the effects of variations of ice thickness and roughness on acoustic wave velocities, wave amplitudes, and particle motions on the ice. Guided by these results and the numerical work additional, experiments will be made employing explosive and harmonic sources to verify the theoretical predictions on the variation of particle motion of hydroacoustic

and flexural waves as a function of depth in the ice and to determine whether in fact the signal to noise ratio can be enhanced by a horizontal component geophone buried near mid-depth in the ice.

Since large scale ice movements are the principal source of background noise we will investigate the spectral character of the ice vibrations generated by these movements. Data have been recorded from geophones and hydrophones installed in an active pressure ridge and additional experiments are planned.

ACKNOWLEDGEMENTS

Dr. J. Dorman of the Lamont-Doherty Geological Observatory kindly supplied a deck of his PV-7 dispersion program. Computing facilities were provided by the Columbia University Computing Center. This work was supported by the U. S. Naval Ordnance Laboratory and the Office of Naval Research under Contract N00014-67-A-0108-0016.

REFERENCES

Dorman, J., Period equation for waves of Rayleigh type on a layered liquid - solid half-space, Bull. Seismol. Soc. Am., 52, 389-397, 1962.

Harkrider, D. G., Surface waves in multilayered elastic media I. Rayleigh and Love waves from buried sources in a multilayered elastic half space, Bull. Seismol. Soc. Am., 54, 627-679, 1964.

Haskell, N. A., The dispersion of surface waves on multilayered media, Bull. Seismol. Soc. Am., 43, 17-34, 1953.

Hunkins, K. and H. Kutschale, Shallow-water propagation in the Arctic Ocean, J. Acoust. Soc. Am., 35, 542-551, 1963.

Kutschale, H. W., "The Integral Solution of the Sound Field in a Multilayered Liquid-Solid Half Space with Numerical Computations for Low-Frequency Propagation in the Arctic Ocean", Lamont-Doherty Geol. Obs. of Columbia University Tech. Rept. No. CUL-1-70, 1970.

Kutschale, H. W., W.K.B. mode approximation applied to SOFAR propagation in the Arctic Ocean, J. Acoust. Soc. Am. 51, 118, 1972. (abstract)

Thomson, W. T., Transmission of elastic waves through a stratified solid medium, J. Appl. Phys., 21, 89-93, 1950.

Tolstoy, I., Shallow water test of the theory of layered wave guides, J. Acoust. Soc. Am., 30, 348-361, 1958.

APPENDIX A
 MATRIX ELEMENTS

Matrix elements (see Haskell 1953, and Dorman, 1962). Solid layers

$$(a_m)_{11} = (a_m)_{44} = \gamma_m \cos P_m - (\gamma_m - 1) \cos Q_m$$

$$(a_m)_{12} = (a_m)_{34} = i \left[\begin{aligned} & \gamma_m^{-1} (\gamma_m - 1) \sin P_m \\ & + \gamma_m \gamma_{\beta_m} \sin Q_m \end{aligned} \right]$$

$$(a_m)_{13} = (a_m)_{24} = -(\rho_m c^2)^{-1} (\cos P_m - \cos Q_m)$$

$$(a_m)_{14} = i (\rho_m c^2)^{-1} \left[\gamma_m^{-1} \sin P_m + \gamma_{\beta_m} \sin Q_m \right]$$

$$(a_m)_{21} = (a_m)_{43} = -i \left[\begin{aligned} & \gamma_m \gamma_{\alpha_m} \sin P_m + \\ & \gamma_{\beta_m}^{-1} (\gamma_m - 1) \sin Q_m \end{aligned} \right]$$

$$(a_m)_{22} = (a_m)_{33} = -(\gamma_m - 1) \cos P_m + \gamma_m \cos Q_m$$

$$(a_m)_{23} = i(\rho_m c^2)^{-1} (r_{\alpha_m}^{-1} \sin P_m + r_{\alpha_m}^{-1} \sin Q_m)$$

$$(a_m)_{31} = (a_m)_{42} = \rho_m c^2 \gamma_m (\gamma_m - 1) \times (\cos P_m - \cos Q_m)$$

$$(a_m)_{32} = i \rho_m c^2 \left[r_{\alpha_m}^{-1} (\gamma_m - 1)^2 \sin P_m + \gamma_m r_{\beta_m}^{-1} \sin Q_m \right]$$

$$(a_m)_{41} = i \rho_m c^2 \left[\gamma_m^2 r_{\alpha_m}^{-1} \sin P_m + r_{\beta_m}^{-1} (\gamma_m - 1)^2 \sin Q_m \right]$$

Liquid layers 4×4 matrices

$$(a_m)_{11} = (a_m)_{44} = 1$$

$$(a_m)_{12} = (a_m)_{13} = (a_m)_{14} = (a_m)_{21}$$

$$(a_m)_{24} = (a_m)_{31} = (a_m)_{34} = (a_m)_{41}$$

$$= (a_m)_{42} = (a_m)_{43} = 0$$

$$(a_m)_{22} = (a_m)_{33} = \cos P_m$$

$$(a_m)_{23} = \frac{i r_{dm} \sin P_m}{P_m C^2}$$

$$(a_m)_{32} = \frac{i P_m C^2 \sin P_m}{r_{dm}}$$

RECIPIENT LIST

3 COPIES

CHIEF OF NAVAL RESEARCH CODE 415
OFFICE OF NAVAL RESEARCH
ARLINGTON VIRGINIA 22217

DR CHARLES R BENTLEY
GEOPHYSICAL & POLAR RESEARCH CENTER
UNIVERSITY OF WISCONSIN
6118 UNIVERSITY AVENUE
MIDDLETON WISCONSIN 53562

12 COPIES

DEFENSE DOCUMENTATION CENTER
CAMERON STATION
ALEXANDRIA VIRGINIA 22314

DIRECTOR INSTITUTE OF POLAR STUDIES
OHIO STATE UNIVERSITY
125 SOUTH OVAL DRIVE
COLUMBUS OHIO 43210

6 COPIES

DIRECTOR, NAVAL RESEARCH LAB
ATTENTION TECHNICAL INFORMATION
OFFICER
WASHINGTON D C 20390

MR ROBERT C FAYLOR
ARCTIC INSTITUTE OF NORTH AMERICA
1619 NEW HAMPSHIRE AVENUE N W
WASHINGTON D C 20009

CHIEF OF NAVAL RESEARCH CODE 480
OFFICE OF NAVAL RESEARCH
ARLINGTON VIRGINIA 22217

MISS MARET MARTNA DIRECTOR
ARCTIC BIBLIOGRAPHY PROJECT
406 EAST CAPITOL STREET N E
WASHINGTON D C 20003

DEPARTMENT OF THE ARMY
WASHINGTON D C 20315

LIBRARIAN
U S NAVAL POSTGRADUATE SCHOOL
MONTEREY CALIFORNIA 93940

COLD REGIONS RESEARCH & ENGINEERING
LABORATORY
POST OFFICE BOX 282
HANOVER NEW HAMPSHIRE 03755

CHIEF OF NAVAL RESEARCH
OFFICE OF NAVAL RESEARCH CODE 466
ARLINGTON VIRGINIA 22217

AIR UNIVERSITY LIBRARY
AUL3T-63-735
MAXWELL AIR FORCE BASE
ALABAMA 36112

CHIEF OF NAVAL RESEARCH
OFFICE OF NAVAL RESEARCH CODE 468
ARLINGTON VIRGINIA 22217

NAVAL ACADEMY LIBRARY
ANNAPOLIS
MARYLAND 21402

MR BEAUMONT BUCK
DELCO ELECTRONICS
GENERAL MOTORS CORP
6767 HOLLISTER AVENUE
GOLETA CALIFORNIA 93107

DIRECTOR
NAVAL ARCTIC RESEARCH LABORATORY
BARROW ALASKA 99723

DR ARTHUR LACHENBRUCH
BRANCH OF GEOPHYSICS
U S GEOLOGICAL SURVEY
345 MIDDLEFIELD ROAD
MENLO PARK CALIFORNIA 94025

DR WALDO LYON
ARCTIC SUBMARINE LABORATORY
NAVAL UNDERSEA R & D CENTER
SAN DIEGO CALIFORNIA 92132

CHIEF OF NAVAL RESEARCH CODE 480D
OFFICE OF NAVAL RESEARCH
ARLINGTON VIRGINIA 22217

PROF NORBERT UNTERSTEINER
DEPT OF ATMOSPHERIC SCIENCES
UNIVERSITY OF WASHINGTON
SEATTLE WASHINGTON 98105

DR KOU KUSUNOKI
POLAR DIVISION
NATIONAL SCIENCE MUSEUM
UENO PARK TOKYO JAPAN

EXECUTIVE DIRECTOR BRIG H W LOVE
THE ARCTIC INSTITUTE OF NORTH AMERICA
3458 REDPATH STREET
MONTREAL 109 QUEBEC CANADA

DR LOUIS O QUAM
USARP CHIEF SCIENTIST
NATIONAL SCIENCE FOUNDATION
WASHINGTON D C 20550

MISS MOIRA DUNBAR
DEFENSE RESEARCH BOARD/DREO
125 ELGIN STREET
OTTAWA 4 ONTARIO CANADA

DR A R MILNE
PACIFIC NAVAL LABORATORY
DEFENSE RESEARCH BOARD
DEPARTMENT OF NATIONAL DEFENSE
ESQUIMALT BRITISH COLUMBIA CANADA

MR WALT WITTMANN
NAVOCEANO
CODE 8050, BLDG 58, ROOM 206
WASHINGTON D C 20390

MR LOUIS DEGOES
EXECUTIVE SECRETARY
COMMITTEE ON POLAR RESEARCH
NATIONAL ACADEMY OF SCIENCES
2101 CONSTITUTION AVENUE N W
WASHINGTON D C 20418

CHIEF OF NAVAL OPERATIONS
OP-07T
DEPARTMENT OF THE NAVY
THE PENTAGON
WASHINGTON D C 20350

CHIEF OF NAVAL RESEARCH/ CODE 484
OFFICE OF NAVAL RESEARCH
WASHINGTON D C 20390

MR M M KLEINERMAN
ARCTIC SCIENTIFIC PROGRAM CODE 5331
U S NAVAL ORDNANCE LABORATORY
WHITE OAK MARYLAND 20390

MR J O FLETCHER
OFFICE OF POLAR PROGRAMS
1800 G STREET N W
WASHINGTON D C 20550

LIBRARIAN
WATER SECTOR LIBRARY
POLICY AND PLANNING BRANCH
DEPT OF ENERGY MINES AND RESOURCES
OTTAWA 3 ONTARIO CANADA

DIRECTOR
WOODS HOLE OCEANOGRAPHIC INSTITUTION
WOODS HOLE MASSACHUSETTS 01823

NORTHERN AFFAIRS LIBRARY
KENT-ALBERT BUILDING
OTTAWA ONTARIO CANADA

DR RICHARD J WOLD
DEPARTMENT OF GEOLOGY
UNIVERSITY OF WISCONSIN
MILWAUKEE WISCONSIN 53201

DR DONALD W HOOD
INSTITUTE FOR MARINE SCIENCE
UNIVERSITY OF ALASKA
COLLEGE ALASKA 99735

DR LAWRENCE COACHMAN
DEPARTMENT OF OCEANOGRAPHY
UNIVERSITY OF WASHINGTON
SEATTLE WASHINGTON 98105

DR DAVID CLARK
DEPARTMENT OF GEOLOGY
UNIVERSITY OF WISCONSIN
MADISON WISCONSIN 53706

OFFICE OF THE OCEANOGRAPHER
PROGRAMS DIVISION CODE N-6
732 N WASHINGTON STREET
ALEXANDRIA VIRGINIA 22314

DIRECTOR
OFFICE OF SCIENTIFIC INFORMATION
NATIONAL SCIENCE FOUNDATION
WASHINGTON D C 20550

LIBRARIAN
DEFENSE RESEARCH BOARD OF CANADA
OTTAWA ONTARIO CANADA

DIRECTOR
ARKTISK INSTITUT
KRAEMERHUS
L E BRUUNSVEJ 10
CHARLOTTENLUND DENMARK

DIRECTOR
NORSK POLAR INSTITUTE
OBSERVATOREIGT. 1
OSLO NORWAY

DR KEITH MATHER
GEOPHYSICAL INSTITUTE
UNIVERSITY OF ALASKA
COLLEGE ALASKA 99701

CONTRACT ADMINISTRATOR
OFFICE OF NAVAL RESEARCH BRANCH OFFICE
495 SUMMER STREET
BOSTON MASSACHUSETTS 02210

LIBRARIAN
SCOTT POLAR RESEARCH INSTITUTE
CAMBRIDGE ENGLAND

NAVAL SHIPS SYSTEM COMMAND
ATTN CODE 205; CODE 03C
DEPARTMENT OF THE NAVY
WASHINGTON D C 20360

LIBRARIAN - ATTN DR R MELLEN
TECHNICAL LIBRARY
NAVY UNDERWATER SOUND LABORATORY
FORT TRUMBULL NEW LONDON CONN 06320

LIBRARIAN (CODE 1640)
U S NAVAL OCEANOGRAPHIC OFFICE
SUITLAND MD 20390

LIBRARIAN
U S NAVAL ELECTRONICS LABORATORY CTR
SAN DIEGO CALIFORNIA 92152

LIBRARIAN TECHNICAL LIBRARY
U S NAVAL UNDERSEA WARFARE CENTER
3202 E. FOOTHILL BLVD
PASADENA CALIFORNIA 91107

LIBRARIAN TECHNICAL LIBRARY DIVISION
NAVAL CIVIL ENGINEERING LABORATORY
PORT HUENEME CALIFORNIA 93041

DR JOHANNES WILJHELM
DET DANSKE METEOROLOGISK INSTITUT
GAMLEHAVE ALLE 22
CHARLOTTENLUND DENMARK

DR ALBERT H JACKMAN
CHAIRMAN DEPT OF GEOGRAPHY
WESTERN MICHIGAN UNIVERSITY
KALAMAZOO MICHIGAN 49001

DR WARREN DENNER
CODE 58DW
DEPARTMENT OF OCEANOGRAPHY
NAVAL POSTGRADUATE SCHOOL
MONTEREY CALIFORNIA 93940

6 COPIES
DIRECTOR U S NAVAL RESEARCH LAB
WASHINGTON D C 20390
ATTN LIBRARY CODE 2029 (ONRL)

OFFICE OF NAVAL RESEARCH RES REP
COLUMBIA UNIVERSITY
LAMONT-DOHERTY GEOLOGICAL OBSERVATORY
TORREY CLIFF PALISADES NEW YORK
10964

DR RITA HORNER
INSTITUTE OF MARINE SCIENCES
UNIVERSITY OF ALASKA
COLLEGE ALASKA 99701

DR KNUT AAGAARD
DEPT OF OCEANOGRAPHY
UNIVERSITY OF WASHINGTON
SEATTLE WASHINGTON 98105

PROF CLARENCE CLAY
GEOPHYSICAL & POLAR RESEARCH CENTER
6118 UNIVERSITY
MIDDLETON WIS 53562

PROF WILLIAM MCINTIRE
COASTAL STUDIES INSTITUTE
LOUISIANA STATE UNIVERSITY
BATON ROUGE LOUISIANA 70803

DR J A GALT
DEPT OF OCEANOGRAPHY
NAVAL POST GRADUATE SCHOOL
MONTEREY CALIFORNIA 93940

DR WESTON BLAKE JR
GEOLOGICAL SURVEY OF CANADA
DEPT OF ENERGY MINES & RESOURCES
601 BOOTH STREET
OTTAWA 4 ONTARIO

CHIEF SCIENTIST
ONR BRANCH OFFICE CHICAGO
536 SOUTH CLARK STREET
CHICAGO ILLINOIS 60605

CHIEF SCIENTIST
ONR BRANCH OFFICE PASADENA
1030 EAST GREEN STREET
PASADENA CALIFORNIA 91101

UNDERSEA SURVEILLANCE OCEANOGRAPHIC CTR
U S NAVAL OCEANOGRAPHIC OFFICE
WASHINGTON D C 20390

COMMANDER NAVAL AIR SYSTEMS COMMAND
CODE 370C
DEPARTMENT OF THE NAVY
WASHINGTON D C 20360

COMMANDER U S NAVAL ORDNANCE LAB
WHITE OAK
SILVER SPRING MARYLAND 20910
ATTN: CODE 730

COMMANDING OFFICER
U S NAVAL WEAPONS RESEARCH & ENGINEERING
STATION
NEWPORT RHODE ISLAND 02842
ATTN: W B BIRCH

COMMANDER U S NAVAL OCEANOGRAPHIC OFFICE
NAVAL RESEARCH LABORATORY
WASHINGTON D C 20390
ATTN: CODE 7230

COMMANDING OFFICER
U S NAVAL RESEARCH LABORATORY
WASHINGTON D C 20390
ATTN: BURTON HURDLE

DIRECTOR ORDNANCE RESEARCH LABORATORY
P O BOX 30
STATE COLLEGE PENNSYLVANIA 16801
ATTN: W LEISS

DIRECTOR GENERAL DEF RES ESTABLISHMENT
ATLANTIC FORCES MAIL OFFICE
HALIFAX NOVA SCOTIA CANADA
ATTN: DR R CHAPMAN

MCGILL UNIVERSITY
PHYSICS DEPARTMENT
MONTREAL 2 P Q CANADA
ATTN: ICE RESEARCH PROJECT

DIRECTOR OF DEFENSE RESEARCH & ENGINEERING
OFFICE OF THE SECRETARY OF DEFENSE
WASHINGTON D C 20301
ATTN: OFFICE ASST. DIRECTOR (RESEARCH)

OFFICE OF NAVAL RESEARCH 2
DEPT OF NAVY
WASHINGTON D C 20360
CODE 460
410

OFFICE OF NAVAL RESEARCH
BRANCH OFFICE
207 W 26TH STREET
NEW YORK N Y 10011

Unclassified

Security Classification

DOCUMENT CONTROL DATA - R & D

Security classification of title, body of abstract and indexing annotation must be entered when the overall report is classified

1. ORIGINATING ACTIVITY (Corporate author) Lamont-Doherty Geological Observatory of Columbia University		2a. REPORT SECURITY CLASSIFICATION Unclassified
		2b. GROUP Arctic
3. REPORT TITLE Further Investigation of the Integral Solution of the Sound Field in Multilayered Media; A Liquid-Solid Half Space in which the Last Layer is Solid.		
4. DESCRIPTIVE NOTES (Type of report and inclusive dates) Technical Report		
5. AUTHOR(S) (First name, middle initial, last name) Henry W. Kutschale		
6. REPORT DATE March, 1972		7a. TOTAL NO. OF PAGES 67
8a. CONTRACT OR GRANT NO. N00014-67-A-0108-0016		9a. ORIGINATOR'S REPORT NUMBER(S) 6
b. PROJECT NO. NR 307-320/1-6-69 (415)		9b. OTHER REPORT NO(S) (Any other numbers that may be assigned this report)
c. d.		
10. DISTRIBUTION STATEMENT Reproduction of this document in whole or in part is permitted for any purpose of the U. S. Government.		
11. SUPPLEMENTARY NOTES		12. SPONSORING MILITARY ACTIVITY U.S. Naval Ordnance Laboratory, White Oak, Silver Spring, Maryland, Office of Naval Research, Washington, D.C.
13. ABSTRACT The integral solution of the sound field is derived from a laminated interbedded liquid-solid half space. The last layer of infinite thickness is solid. The integral over wave number is conveniently transformed into the complex wave number plane yielding a sum of normal modes of propagation plus the sum of integrals along branch cuts. Waves corresponding to the normal modes predominate at ranges beyond several times the water depth and are considered in detail. Sample numerical computations are presented for propagation of Rayleigh waves in shallow water on the Arctic continental shelf.		

14 KEY WORDS	LINK A		LINK B		LINK C	
	ROLE	WT	ROLE	WT	ROLE	WT
Arctic Hydroacoustics Sound field Layered media Matrix Integral solution Digital computer Numerical computations						

INSTRUCTIONS TO FILL OUT DD FORM 1473 - DOCUMENT CONTROL DATA
(See *ASPR* 4-211)

1. ORIGINATING ACTIVITY: Enter the name and address of the contractor, subcontractor, grantee, Department of Defense activity or other organization (*corporate author*) issuing the report.

2a. REPORT SECURITY CLASSIFICATION: Enter the overall security classification of the report. Indicate whether "Restricted Data" is included. Marking is to be in accordance with appropriate security regulations.

2b. GRCUP: Automatic downgrading is specified in DoD directive 5200.10 and Armed Forces Industrial Security Manual. Enter the group number. Also, when applicable, show that optional markings have been used for Group 3 and Group 4 as authorized.

3. REPORT TITLE: Enter the complete report title in all capital letters. Titles in all cases should be unclassified. If a meaningful title cannot be selected without classification, show title classification in all capitals in parenthesis immediately following the title.

4. DESCRIPTIVE NOTES: If appropriate, enter the type of report, e.g., interim, progress, summary, annual, or final. Give the inclusive dates when a specific reporting period is covered.

5. AUTHOR(S): Enter the name(s) of the author(s) in normal order, e.g., full first name, middle initial, last name. If military, show grade and branch of service. The name of the principal author is a minimum requirement.

6. REPORT DATE: Enter the date of the report as day, month, year; or month, year. If more than one date appears on the report, use date of publication.

7a. TOTAL NUMBER OF PAGES: The total page count should follow normal pagination procedures, i.e., enter the number of pages containing information.

7b. NUMBER OF REFERENCES: Enter the total number of references cited in the report.

8a. CONTRACT OR GRANT NUMBER: If appropriate, enter the applicable number of the contract or grant under which the report was written.

8b, 8c, and 8d. PROJECT NUMBER: Enter the appropriate military department identification, such as project number, task area number, systems numbers, work unit number, etc.

9a. ORIGINATOR'S REPORT NUMBER(S): Enter the official report number by which the document will be identified and controlled by the originating activity. This number must be unique to this report.

9b. OTHER REPORT NUMBER(S): If the report has been assigned any other report numbers (*either by the originator or by the sponsor*), also enter this number(s).

10. DISTRIBUTION STATEMENT: Enter the one distribution statement pertaining to the report.

Contractor-Imposed Distribution Statement

The Armed Services Procurement Regulations (*ASPR*), para 9-203 stipulates that each piece of data to which limited rights are to be asserted must be marked with the following legend:

"Furnished under United States Government Contract No. _____. Shall not be either released outside the Government, or used, duplicated, or disclosed in whole or in part for manufacture or procurement, without the written permission of _____, except for:

(i) emergency repair or overhaul work by or for the Government, where the item or process concerned is not otherwise reasonably available to enable timely performance of the work; or (ii) release to a foreign government, as the interests of the United States may require; provided that in either case the release, use, duplication or disclosure hereof shall be subject to the foregoing limitations. This legend shall be marked on any reproduction hereof in whole or in part."

If the above statement is to be used on this form, enter the following abbreviated statement:

"Furnished under U. S. Government Contract No. _____. Shall not be either released outside the Government, or used, duplicated, or disclosed in whole or in part for manufacture or procurement, without the written permission of _____, per *ASPR* 9-203."

DoD Imposed Distribution Statements (reference *DoD Directive 5200.20*) "Distribution Statements (Other than Security) on Technical Documents," March 29, 1965.

STATEMENT NO. 1 - Distribution of this document is unlimited.

STATEMENT NO. 2 (UNCLASSIFIED document) - This document is subject to special export controls and each transmittal to foreign governments or foreign nationals may be made only with prior approval of (*fill in controlling DoD office*).

(CLASSIFIED document) - In addition to security requirements which must be met, this document is subject to special export controls and each transmittal to foreign governments or foreign nationals may be made only with prior approval (*fill in controlling DoD Office*).

STATEMENT NO. 3 (UNCLASSIFIED document) - Each transmittal of this document outside the agencies of the U. S. Government must have prior approval of (*fill in controlling DoD Office*).

(CLASSIFIED document) - In addition to security requirements which apply to this document and must be met, each transmittal outside the agencies of the U. S. Government must have prior approval of (*fill in controlling DoD Office*).

STATEMENT NO. 4 (UNCLASSIFIED document) - Each transmittal of this document outside the Department of Defense must have prior approval of (*fill in controlling DoD Office*).

(CLASSIFIED document) - In addition to security requirements which apply to this document and must be met, each transmittal outside the Department of Defense must have prior approval of (*fill in controlling DoD Office*).

STATEMENT NO. 5 (UNCLASSIFIED document) - This document may be further distributed by any holder only with specific prior approval of (*fill in controlling DoD Office*).

(CLASSIFIED document) - In addition to security requirements which apply to this document and must be met, it may be further distributed by the holder ONLY with specific prior approval of (*fill in controlling DoD Office*).

11. SUPPLEMENTARY NOTES: Use for additional explanatory notes.

12. SPONSORING MILITARY ACTIVITY: Enter the name of the departmental project office or laboratory sponsoring (*paying for*) the research and development. Include address.

13. ABSTRACT: Enter an abstract giving a brief and factual summary of the document indicative of the report, even though it may also appear elsewhere in the body of the technical report. If additional space is required, a continuation sheet shall be attached.

It is highly desirable that the abstract of classified reports be unclassified. Each paragraph of the abstract shall end with an indication of the military security classification of the information in the paragraph, represented as (TS), (S), (C), or (U).

There is no limitation on the length of the abstract. However, the suggested length is from 150 to 225 words.

14. KEY WORDS: Key words are technically meaningful terms or short phrases that characterize a report and may be used as index entries for cataloging the report. Key words must be selected so that no security classification is required. Identifiers, such as equipment model designation, trade name, military project code name, geographic location, may be used as key words but will be followed by an indication of technical context. The assignment of links, roles, and weights is optional.

COLUMBIA LIBRARIES OFFSITE



CU90642490

